

## 1.A Sets, Relations, Graphs, and Functions

### 1.A.1 Set *a collection of objects(element)*

Let  $A$  be a set and  $a$  be an elements in  $A$ , then we write  $a \in A$ .

### How to specify sets

1. to *enumerate all of the elements* 원소나열법

2. to state the **properties** that characterizes the elements. 조건제시법

$$A = \{x \mid p(x)\}$$

$p(x)$  is a **predicate**

$p(x)$  is either **true** or **false** depending on  $x$

$$A = \{x \in U \mid p(x)\}$$

$A \subseteq U$ ,  $U$  is the **universe of discourse**

$x \in U$   $U$  is the **type** of  $x$  in  $A$   $U$   $x$  in  $C$ , Java

$p(x)$  **attribute** of  $x$

3. **automata, grammars, programs**

## Three cases for two sets $A$ and $B$

### 1. subset

$$A \subseteq B \text{ or } B \subseteq A$$

$$\Leftrightarrow A - B = \emptyset \text{ or } B - A = \emptyset$$

$$\Leftrightarrow A \cap \bar{B} = \emptyset \text{ or } B \cap \bar{A} = \emptyset$$

### 2. disjoint

$$A \cap B = \emptyset$$

### 3. in general (incomparable, neither subset nor disjoint)

$$\text{not}(A \subseteq B \text{ or } B \subseteq A) \text{ and } \text{not}(A \cap B = \emptyset)$$

$$\Leftrightarrow A \not\subseteq B \text{ and } B \not\subseteq A \text{ and } A \cap B \neq \emptyset.$$

$$\Leftrightarrow A \cap \bar{B} \neq \emptyset \text{ and } \bar{A} \cap B \neq \emptyset \text{ and } A \cap B \neq \emptyset.$$

$$A \cap B \neq \emptyset$$

Venn diagram  $2^n$  regions

Truth table  $2^n$  rows

$$\bar{A} \cap \bar{B} \neq \emptyset$$

## 1.A.2 Binary relation

**Cartesian product** of two sets,  $A$  and  $B$

$$A \times B = \{(a, b) \mid a \in A, b \in B\}.$$

$(a, b) \in A \times B$ : an **ordered pair**.

$$|A \times B| = |A| \times |B|.$$

**Binary relation**  $R$  **from** the set  $A$  (**domain**) **to** the set  $B$  (**range**).

$$R \subseteq A \times B. \quad a \in A, b \in B, (a, b) \in R \text{ or } a R b.$$

$$|R| \leq |A \times B|.$$

**Inverse** of a relation  $R$ ,  $R^{-1} = \{(b, a) \in B \times A \mid (a, b) \in R\}$

**Composition (Product)** of two relations  $R$  and  $S$

where  $R \subseteq A \times B$  and  $S \subseteq B \times C$ .

$$R \cdot S = \{(a, c) \mid (a, b) \in R, (b, c) \in S\}$$

**Binary relation**  $R$  **on**  $A$        $R \subseteq A \times A$ .

**Identity relation**  $R$  on  $A$        $id_A = \{(a, a) \mid a \in A\}$

$$\forall R \subseteq A \times A, R \cdot id_A = id_A \cdot R = R.$$

**Repeated composition(product) of a binary relation  $R$  on  $A$ .**

Let  $R \subseteq A \times A$ . We define

$$R^2 = R \cdot R, \quad R^3 = R \cdot R \cdot R, \quad \dots \quad R^n = R \cdot R \cdot \dots \cdot R, \quad \dots \text{ and}$$

$R = R^1$ . Then we can **define**

$$R^n R^m = R^{n+m}, \text{ for } (\forall n, m \in \mathbb{N}), n, m \geq 1.$$

$R^0 = ?$  If we define  $R^0 = id_A$ ,. Then we can **extend** the definition

$$R^n R^m = R^{n+m}, \text{ for } n, m \geq 0.$$

Another (**recursive**) definition for **repeated product** of binary relations

$$R^0 =_B id_A. \quad \text{basis}$$

$$R^n =_R R \cdot R^{n-1}, n \geq 1. \quad \text{recursion}$$

$$\text{ex) } R^3 =_R R \cdot R^2 =_R R \cdot R \cdot R^1 =_R R \cdot R \cdot R \cdot R^0 =_B R \cdot R \cdot R \cdot id_A = R \cdot R \cdot R$$

**1.A.3** A directed graph  $G = (V, E)$  is

$V$ : a set of vertices,

$E \subseteq V \times V$ : a set of edges,

$E$ : a binary relation on  $V$

**Some properties of the binary relations**

1)  $R$  is reflexive, if  $\forall a \in A, a R a$ .

$$id_A \subseteq R$$

$R$  is irreflexive, if  $\forall a \in A, a \not R a$ .

$$R \cap id_A = \emptyset$$

2)  $R$  is symmetric(=), if  $a R b$  implies  $b R a$ .

$$R = R^{-1}$$

$R$  is asymmetric(<), if  $a R b$  implies  $b \not R a$ .

$$R \cap R^{-1} = \emptyset$$

$R$  is antisymmetric( $\leq$ ), if  $a R b$  and  $a \neq b$  implies  $b \not R a$ .  $R \cap R^{-1} \subseteq id_A$

$R$  is asymmetric  $\Rightarrow R$  is irreflexive.

$R$  is asymmetric  $\Rightarrow R$  is antisymmetric.

3)  $R$  is transitive, if  $a R b$  and  $b R c$  implies  $a R c$ .  $R \cdot R \subseteq R$

Let  $\mathbb{P} = \{\text{reflexive, symmetric, transitive}\}$ . Then  $R'$  be  $\mathbb{P}$ -closure of  $R$ , if

i)  $R'$  is  $\mathbb{P}$ .

ii)  $R \subseteq R'$ .

iii)  $R'$  is the **smallest** set among satisfying i) and ii).

$\Leftrightarrow \forall R''$  satisfying i) and ii),  $R' \subseteq R''$ .

**reflexive closure** of  $R$ ,  $R' = R \cup \text{id}_A$ .

**symmetric closure** of  $R$ ,  $R' = R \cup R^{-1}$ .

**transitive closure** of  $R$ ,

$$R^+ = R^1 \cup R^2 \cup R^3 \cup \dots = \bigcup_{i \in N_1} R^i \text{ where } N_1 = \{1, 2, 3, \dots\}.$$

**reflexive-transitive closure** of  $R$ ,

$$R^* = R^0 \cup R^1 \cup R^2 \cup R^3 \cup \dots = \bigcup_{i \in N_0} R^i \text{ where } N_0 = \{0, 1, 2, \dots\}.$$

What is the **reflexive** (**, symmetric**) and **transitive closure** of  $R$  in a graph  $(A, R)$ ?

**Partition of a set  $A$ .**

Let  $A$  be a set and  $A_1, A_2, \dots, A_n \subseteq A$ . Then we define **partition** of  $A$ , as

$\text{Par}(A) = \{A_1, A_2, \dots, A_n\}$  is called a **partition** of  $A$ , written  $\text{Par}(A)$ ,

if 1)  $\bigcup_{i \in \{1, 2, \dots, n\}} A_i = A \wedge$  **exhaustive**

2)  $1 \leq i \neq j \leq n: A_i \cap A_j = \emptyset.$  **(pairwise) disjoint**

$|\text{Par}(A)|$  is the **size** of the partition.

Ex. Consider  $A = \{a_1, a_2, \dots, a_n\}$ . What are  $\text{Par}(A)$ 's?

**Cover of a set  $A$ .**  $\text{Cover}(A) = \{A_1, A_2, \dots, A_n\}$  where

if  $\bigcup_{i \in \{1, 2, \dots, n\}} A_i = A$  **exhaustive**

**Power set of a set  $A$ ,**

$$2^A = P(A) = \{B \mid B \subseteq A\}$$

$$B \subseteq A \Leftrightarrow B \in 2^A.$$

$$|2^A| = 2^{|A|}.$$

$$\text{par}(A) \subseteq 2^A.$$

A binary relation  $R$  on  $A$  is **equivalence**,  
if  $R$  is **reflexive**, **symmetric**, and **transitive**.

$\text{Par}(A)$                       partition of  $A$

A binary relation  $R$  on  $A$  is **((ir)reflexive) partial order**,  
if  $R$  is **(ir)reflexive**, **antisymmetric**, and **transitive**.

$A$ : **partially-ordered set (poset)**

Let  $R \subseteq A \times A$  be an **equivalence**,

$[a]_R = \{b \in A \mid a R b\}$                       **equivalence class**,

$\{[a]_R \mid a \in A\}$                       **equivalence partition**.

a set of **equivalence classes**.

$\bigcup_{a \in A} [a]_R = A$ ,                      **exhaustive**

if  $a R b$ ,  $[a]_R = [b]_R$ .                      **same equivalent class**

if  $a \not R b$ ,  $[a]_R \cap [b]_R = \emptyset$ .                      **(pairwise) disjoint**



Let  $\leq$  be a partial order(**relation**) on  $A$ .  $\leq \subseteq A \times A$

Then  $(A, \leq)$  is called as partially ordered set or **poset** for short.

Let  $(A, \leq)$  be a **poset**. We define two binary operators on  $A$ ,

$$ub, lb: A \times A \rightarrow 2^A$$

$$ub(a, b) = \{c \in A \mid a \leq c, b \leq c\} \quad \text{upper bound( 배수 )}$$

$$lb(a, b) = \{c \in A \mid c \leq a, c \leq b\} \quad \text{lower bound( 약수 )}$$

If a **unique**  $lub(\vee, )$  and a **unique**  $glb(\wedge)$ ,

$$\vee, \wedge: A \times A \rightarrow A. \quad (A, \leq) \text{ is called as a } \mathbf{lattice} \text{ and}$$

$$a \vee b = \min(ub(a, b)) \quad \text{least upper bound( 최소공배수 )}$$

$$a \wedge b = \max(lb(a, b)). \quad \text{greatest lower bound( 최대공약수 )}$$

$(A, \vee, \wedge)$  is called an **algebra** induced by the lattice  $(A, \leq)$ .

$(\mathbb{N}, lcm, gcd)$  is an algebra induced by a lattice  $(\mathbb{N}, |)$ .

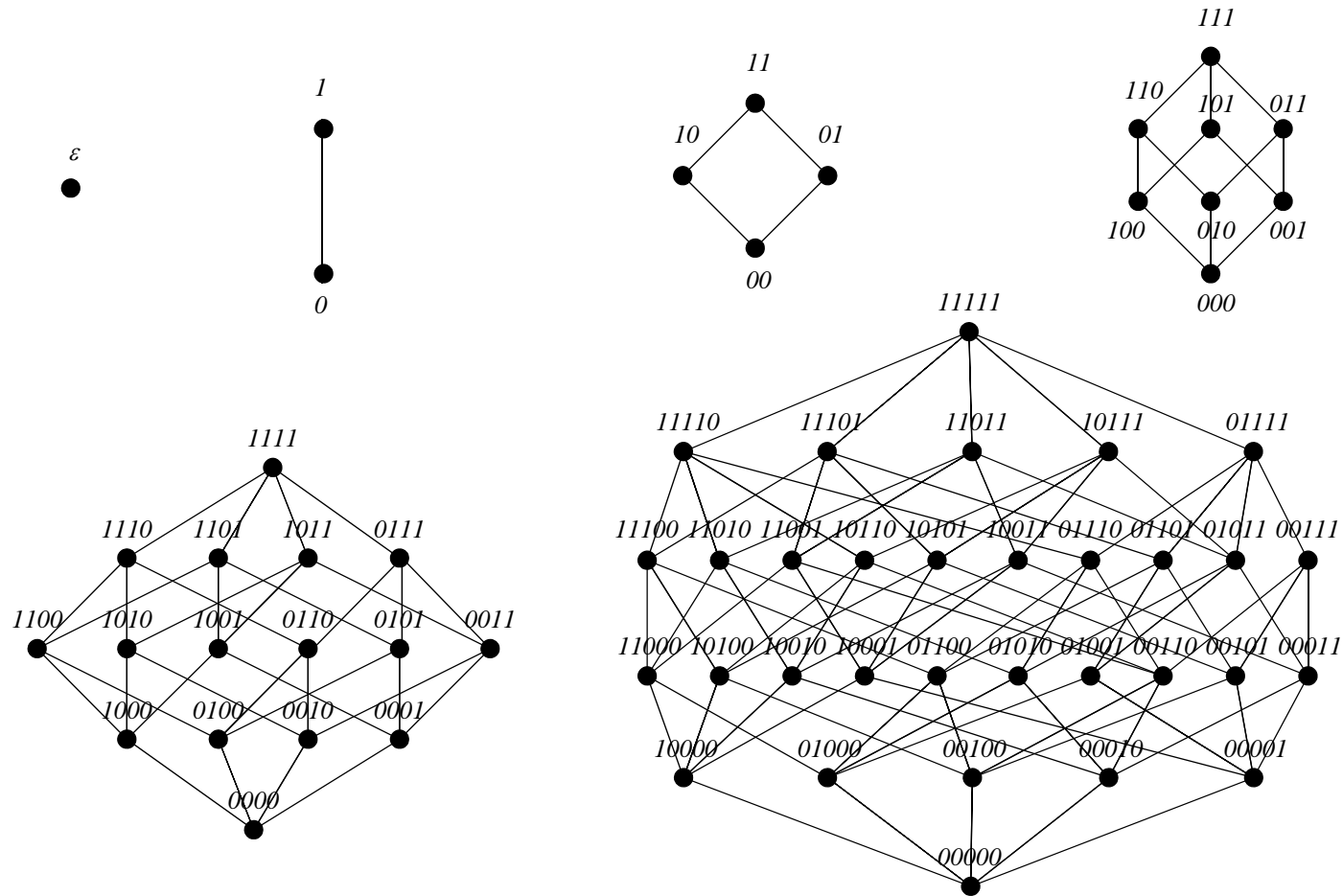
**Boolean algebra**,  $(\{f, t\}, \vee, \wedge)$ , is induced by the **lattice**  $(\{f, t\}, \{f \leq t\})$ .

**Singleton set algebra**,  $(2^{\{a\}}, \cup, \cap)$ , is **isomorphic** to

the **boolean algebra**,  $(\{f, t\}, \vee, \wedge)$  with respect to **bijection**  $f$ .

*CS204 TP of Chap. 13(12) boolean algebra p15 Fig.*

*A  $|A|$ -bit string algebra  $(\{0, 1\}^{|A|}, \vee, \wedge)$  induced by  $(\{0, 1\}^{|A|}, \leq)$  is **isomorphic** to the set algebra  $(2^A, \cup, \cap)$  induced by the **lattice**  $(2^A, \subseteq)$ .*



### 1.A.4 Algebraic system, semi-group and monoid

Let  $A$  be a set and  $\oplus$  be a **binary** operation on  $A$ .

$$\oplus: A \times A \rightarrow A.$$

1.  $(A, \oplus)$  is an **algebraic system**.

$$\text{if } \forall a, b \in A, a \oplus b \in A. \quad \text{closed}$$

2.  $(A, \oplus)$  is a **semi-group**.

$$\text{if } \forall a, b, c \in A, a \oplus (b \oplus c) = (a \oplus b) \oplus c \quad \text{associative}$$

$$a_1 + a_2 + \dots + a_n = \sum_{i \in \{1, 2, \dots, n\}} a_i \quad \text{indexed set notation}$$

*binary operation*  $\Rightarrow$  *n-ary operation*

3.  $(A, \oplus, e)$  is a **monoid**.

$$\text{if } \exists e \in A \text{ s.t. } \forall a \in A, e \oplus a = a \oplus e = a \quad \text{identity}$$

$(A, \oplus, e)$  is called as a **monoid**.

Let  $(A, \oplus, e)$  and  $(B, \otimes, \varepsilon)$  be two monoids.

If

- i)  $h: A \rightarrow B$  is a onto function,  $|A| \geq |B|$
- ii)  $h(a \oplus b) = h(a) \otimes h(b)$ , and *preserve operation*
- iii)  $h(e) = \varepsilon$ . *preserve identity*

Then  $h$  is called a **homomorphism**, and the monoid  $(B, \otimes, \varepsilon)$  is called a **homomorphic** to the monoid  $(A, \oplus, e)$  w.r.t.  $h$ .

$(A, \oplus, e)$  is called **concretization** of  $(B, \otimes, \varepsilon)$  and  
 $(B, \otimes, \varepsilon)$  is called **abstract interpretation** of  $(A, \oplus, e)$ .

If  $h$  is one-to-one and onto,  $h$  is called **isomorphism**.

**1.A.5** A binary *relation* from  $A$  to  $B$  is a *function* from  $A$  to  $B$ , if

$$1) \forall a \in A, \exists (a, b) \in f, \quad \text{total}$$

$$2) \forall a \in A, \exists_1 (a, b) \in f. \quad \text{unique}$$

$$f: A \rightarrow B \quad (a, b) \in f \text{ or } a f b \text{ or } f(a) = b \text{ or } f a = b.$$

*Three faces of a binary relation*

$$i) R \subseteq A \times B. \quad (a, b) \in R.$$

*i) a set of (ordered) pairs*

$$ii) R: A \times B \rightarrow \{\text{false}, \text{true}\}.$$

$$a R b, \text{ iff } (a, b) \in R.$$

*ii) a relational operator ( $<$ ,  $=$ ,  $\leq$ )*

$$iii) R: A \rightarrow 2^B.$$

$$R(a) = \{b_1, b_2, \dots, b_n\}, \text{ iff } (a, b_1), (a, b_2), \dots, (a, b_n) \in R.$$

$$\forall a \in A, \exists_1 \{b_1, b_2, \dots, b_n\} \subseteq B \text{ or } \exists_1 \{b_1, b_2, \dots, b_n\} \in 2^B.$$

$$\therefore R: A \rightarrow 2^B.$$

*iii) a set valued function*

Let  $f: A \rightarrow B$ . Is  $f^{-1}: B \rightarrow A$  a function?

**No!!!**

Function  $f: A \rightarrow B$  is **onto**(*surjection; correspondence*), if

$$\forall b \in B, \exists a \in A .\exists. f(a) = b. \quad |A| \geq |B|$$

If  $f$  is onto,  $f^{-1}$  is **total** but **not** unique function.

Function  $f: A \rightarrow B$  is **one-to-one**(*injection, 1-1*), if

$$\forall a_1, a_2 \in A, a_1 \neq a_2 \text{ implies } f(a_1) \neq f(a_2).$$

$$\text{if } \exists b \in B .\exists. f(a) = b, \exists_1 a \in A. \quad |A| \leq |B|$$

If  $f$  is 1-1,  $f^{-1}$  is **unique** but **not** total function.

Function  $f: A \rightarrow B$  is **bijective**,

if  $f$  is both **1-1** and **onto**(*1-1 correspondence*).

$$\forall b \in B, \text{if } \exists_1 a \in A .\exists. f(a) = b.. \quad |A| = |B|$$

If  $f$  is 1-1 onto,  $f^{-1}$  is both **total** and **unique**, so is a **function**.

## 1.B Set isomorphism and infinite sets

If there exists a **bijection** ( **짝짓기**, 1-1 onto)  $f$  from  $A$  to  $B$ ,  
 two sets  $A$  and  $B$  have same **cardinality**, written  $|A| = |B|$ , and  
 two sets  $A$  and  $B$  are said to be **isomorphic** w.r.t.  $f$ , written  $A \cong_f B$ .

A set is said to be **countable**(**enumerable**),  
 if it has the same **cardinality** with a **subset** of  $\mathbb{N}$ ,  
 either **finite** or **infinite**  
 and **uncountable**(**uncountably infinite**), otherwise.

A set is **countably infinite**, if it has the same **cardinality** with  $\mathbb{N}$ .  
 the **cardinality** of  $\mathbb{N}$  is denoted as  $\aleph$ ,  $|\mathbb{N}| = \aleph$ .

Let  $A$  be **countable**. Then we can **enumerate** the set in **numeric** order.

$A = \{a_0, a_1, \dots, a_n\}$                       **finite** for some  $n \geq 0$ .

$A = \{a_0, a_1, \dots\}$                       **countably infinite**(**enumerable**)

**Consider**

$$\mathbb{N}_1 = \{1, 2, 3, \dots\}, \mathbb{N}_0 = \{0, 1, 2, \dots\} \quad |\mathbb{N}_1| = |\mathbb{N}_0| = \aleph, \text{ but } \mathbb{N}_1 \subset \mathbb{N}_0.$$

$$E = \{e \in \mathbb{N} \mid e = 2i, i \in \mathbb{N}\} \quad |E| = |\mathbb{N}| = \aleph, \quad \text{but } E \subset \mathbb{N}.$$

$$I = \mathbb{N} \cup \{-i \mid i \in \mathbb{N}\} \quad |I| = |\mathbb{N}| = \aleph, \quad \text{but } \mathbb{N} \subset I.$$

$$Q = \mathbb{N} \times \mathbb{N} \quad |Q| = |\mathbb{N}| = \aleph.$$

*enumerate*  $(i, j) \in \mathbb{N} \times \mathbb{N}$  in *(natural) numeric order*

$$\mathbb{N} \times \mathbb{N} = \{(0, 0), (1, 0), (0, 1), (2, 0), (1, 1), (0, 2), (3, 0), (2, 1), \dots\}$$

$$f(i, j) = 1 + 2 + 3 + \dots + (i+j) + j \quad f(0, 0) = 0$$

$$= (i+j)(i+j+1)/2 + j$$

$f: \mathbb{N} \times \mathbb{N} \rightarrow \mathbb{N}$  is 1-1 and onto

Consider  $f^{-1}: \mathbb{N} \rightarrow \mathbb{N} \times \mathbb{N}$  which is also 1-1 and onto.

$$\therefore |\mathbb{N} \times \mathbb{N}| = |\mathbb{N}| = \aleph.$$



**Fact**  $|\Sigma^*| = \aleph$ .

**Proof** *Lexicographical order for  $\Sigma^*$ .*

*in the order of size and if same size, in alphabetic order.*

Let  $|\Sigma| = k$ . Then we can alphabetic order  $\Sigma = \{a_1, a_2, \dots, a_k\}$ ,

and we can order  $x \in \Sigma^*$  in *lexicographical order* as follows.

$\Sigma^* = \{(\underline{\varepsilon}), (\underline{a_1}, \underline{a_2}, \dots, \underline{a_k}), (\underline{a_1a_1}, \underline{a_1a_2}, \dots, \underline{a_1a_k}, \underline{a_2a_1}, \dots, \underline{a_ka_k}), (\underline{a_1a_1a_1}, \dots)\}$

If  $x = b_1b_2 \dots b_n \in \Sigma^*$ ,  $f: \Sigma^* \rightarrow \mathbb{N}$  is as follows;

$$\begin{aligned} f(x) &= k^0 + k^1 \dots + k^{n-1} + b_1k^{n-1} + b_2k^{n-2} + \dots + b_nk^0 \\ &= (k^n - 1)/(k-1) + b_1k^{n-1} + b_2k^{n-2} + \dots + b_nk^0. \end{aligned}$$

What is  $f^{-1}$ ?

We *enumerate*  $x = a_1a_2 \dots a_n \in \Sigma^*$  in *numeric order*

$\therefore f: \Sigma^* \rightarrow \mathbb{N}$  is *one-to-one onto*. Q.E.D.

Consider  $\{0, 1\}^{\mathbb{N}}$ : **infinite** binary strings (See pp.12)

and  $2^{\mathbb{N}}$ : **power** set of natural numbers (Note that  $2^A = \{B \mid B \subseteq A\}$ )

Power set of integers and infinite binary strings are **isomorphic**.

$j \in 2^{\mathbb{N}} \leftrightarrow b_{ij} = 1$  for  $(b_{i0}, b_{i1}, \dots, b_{in}, \dots) \in \{0, 1\}^{\mathbb{N}}$  where  $b_{ij} \in \{0, 1\}$ .

$\therefore |2^{\mathbb{N}}| \cong_f |\{0, 1\}^{\mathbb{N}}|$ .

**Cantor's diagonal argument**

Assume  $\{0, 1\}^{\mathbb{N}}$  is **countable**.

We can **enumerate**  $\{0, 1\}^{\mathbb{N}}$ , infinite binary string, in **numeric** order,

$b_0 = (b_{00}, b_{01}, \dots, b_{0n}, \dots)$

$b_1 = (b_{10}, b_{11}, \dots, b_{1n}, \dots)$

...

$b_n = (b_{n0}, b_{n1}, \dots, b_{nn}, \dots)$

...

Consider  $b = (b_{00}, b_{11}, \dots, b_{nn}, \dots)$  (**diagonal elements**) and

$\bar{b} = (\bar{b}_{00}, \bar{b}_{11}, \dots, \bar{b}_{nn}, \dots)$  where  $\bar{b}_{ii} = 0$ , if  $b_{ii} = 1$ ;  $\bar{b}_{ii} = 1$ , if  $b_{ii} = 0$ .

**complement** of diagonal elements

Then  $b = b_i$  for some  $i \in \mathbb{N}$  but  $\bar{b} \notin b_i$  for **any**  $i \in \mathbb{N}$ .

But  $b, \bar{b} \in \{0, 1\}^{\mathbb{N}}$ !

$\therefore$  The **assumption**  $\{0, 1\}^{\mathbb{N}} = \{b_0, b_1, \dots, b_n, \dots\}$  was **wrong**.

$\therefore$  **Contradiction!!!**

We **fail to enumerate**  $\{0, 1\}^{\mathbb{N}} = \{b_0, b_1, \dots, b_n, \dots\}$  in **numeric order**.

$\therefore$  We **conclude** that  $|\{0, 1\}^{\mathbb{N}}| > |\{b_0, b_1, \dots, b_n, \dots\}| = \aleph$ .

$\{0, 1\}^{\mathbb{N}}$  and  $2^{\mathbb{N}}$  are **uncountable**.

Infinite binary strings and power set of integers are **uncountable**.

$\{0, 1\}^*$  vs  $\{0, 1\}^{\mathbb{N}}$ .

$\{0, 1\}^*$ : **countably** infinite union of **finite** binary strings

$$= \{0, 1\}^0 \cup \{0, 1\}^1 \cup \{0, 1\}^2 \cup \{0, 1\}^3 \cup \dots$$

$$= \{\varepsilon\} \cup \{0, 1\} \cup \{00, 01, 10, 11\} \cup \{000, \dots, 111\} \cup \dots$$

$$= \{\varepsilon, 0, 1, 00, 01, 10, 11, 000, \dots, 111, \dots\}$$

$\{0, 1\}^{\mathbb{N}}$ : **uncountably** infinite union of) **infinite** binary strings

$$= \{000\dots000\dots, \quad \leftrightarrow \{\}$$

$$100\dots000\dots, \quad \leftrightarrow \{0\}$$

$$010\dots000\dots, \quad \leftrightarrow \{1\}$$

...

$$101\dots010\dots, \quad \leftrightarrow \{0, 2, \dots, n, \dots\}$$

...

$$111\dots111\dots\} \quad \leftrightarrow \{0, 1, 2, \dots\} = \mathbb{N}$$

...

$$|\{0, 1\}^{\mathbb{N}}| = |2^{\mathbb{N}}| > |\{0, 1\}^*| = \aleph.$$

*Cantor's diagonal argument*

*Complement of diagonal element*

*Russel's paradox*

$$S = \{x \mid x \notin x\}$$

$x \in S$ , iff  $x \notin x$ .

But  $S \in S$ , iff  $S \notin S$ . **contradictory!**

*Halting problem*

$H(P)$ : **if**  $\text{halt}(P, P)$  **then** loop forever

**elses not**  $\text{halt}(P, P)$  **then** stop **fi**

What happens if  $H(H)$  stops or loops forever?

***Denial of self recursion***

$\Sigma^*$  is countable.

strings are countable

But is  $2^{\Sigma^*}$  uncountable.

languages are uncountable

class of languages

N. Chomsky

***Finite(countable)***

***Countably infinite***

*natural numbers, integers, rational numbers,  
finite strings ...*

***Uncountable***

***Cantor's diagonal argument***

*power set of natural numbers  
infinite strings  
real numbers*

*Some informal descriptions on **countable** and **uncountable** infiniteness*

$\aleph \pm k = \aleph$        $\aleph \times k = \aleph$       ***countable***

$\aleph \times \aleph = \aleph$        $\aleph^k = \aleph$       ***countable***

***But***  $k \times k \times \dots = k^{\aleph} > \aleph$       ***uncountable*** ( $k \geq 2$ )

## 1.C Symbol, vocabulary, string and language

Let  $\Sigma$  be a set of *symbols* ( 문자 ) called as  
*a vocabulary* ( 어휘 or *alphabet*) of a language.

We define a *string*  $x$  over  $\Sigma$ , whose length is  $n$ , is defined as

$x = a_1a_2\dots a_n$  where  $1 \leq \forall i \leq n: a_i \in \Sigma$ . and we write  $|x| = n$ .

A *string* ( 문자열 ) is a *sequence of symbols*.

Example  $\Sigma = \{a, b, \dots, z\}$       English alphabet

$x = school$        $y = boy$

$|x| = 6$        $|y| = 3$ .

*Concatenation*( $\cdot$ ) of two strings

$x = a_1a_2\dots a_n$  and  $y = b_1b_2\dots b_m$ .

$x \cdot y = a_1a_2\dots a_nb_1b_2\dots b_m = xy$       justaxaposed

Example  $x \cdot y = xy = schoolboy$        $|xy| = 9$ .

We define **concatenation** of two strings as

$\therefore \Sigma^* \times \Sigma^* \rightarrow \Sigma^*$       a **binary operation on strings**

(1)  $\forall x, y \in \Sigma^*, xy \in \Sigma^*$ .      **closed**

(2)  $\exists x, y \in \Sigma^*, xy \neq yx$       **noncommutative**

(3)  $\forall x, y, z \in \Sigma^*, x(yz) = (xy)z$       **associative**

(4) We **define an empty string** denoted as  $\varepsilon$  (or  $\Lambda$ )  
as an **identity element** w.r.t. the concatenation operation.

i.e.  $\forall x \in \Sigma^*, \varepsilon x = x\varepsilon = x$  where  $|\varepsilon| = 0$ .

Then  $(\Sigma^*, \cdot, \varepsilon)$  is a noncommutative **monoid**.

We can **extend** the domain and range of the concatenation  
from set of **strings** to the set of **languages** (set of set of strings)

$\therefore 2^{\Sigma^*} \times 2^{\Sigma^*} \rightarrow 2^{\Sigma^*}$ .

Then  $(2^{\Sigma^*}, \cdot, \{\varepsilon\})$  is a (**non induced**) noncommutative **monoid**.



## Four terminologies on the formal language theory (FLT).

	<i>element</i>	<i>set</i>
	<i>symbol</i> ( 문자 )	<i>vocabulary</i> ( 어휘 )
	$a, b, c \in \Sigma$	$\Sigma$
<u><i>sequence</i></u> ( 열 )	<u><i>string</i></u> ( 문자열 )	<u><i>language</i></u> ( 언어 )
	$x, y, z \in \Sigma^*$	$L, S, T \subseteq \Sigma^*$
		$L, S, T \in 2^{\Sigma^*}$

## Power of an alphabet revisited

$$\Sigma^0 =_B \{\varepsilon\} \quad \text{basis}$$

$$\Sigma^n =_R \Sigma \Sigma^{n-1} \text{ for } n \geq 1 \quad \text{recursion}$$

$$|\Sigma^n| = |\Sigma|^n.$$

$$\Sigma^* = \cup_{i \in \mathbb{N}_0} \Sigma^i = \{\varepsilon\} \cup \Sigma \cup \Sigma^2 \dots \quad \Sigma^+ = \cup_{i \in \mathbb{N}_1} \Sigma^i = \Sigma \cup \Sigma^2 \dots$$

Let  $B^A = \{f \mid f: A \rightarrow B\}$ . Then  $|B^A| = |B|^{|A|}$ .

Consider  $\Sigma^n = \Sigma^{\{1, 2, \dots, n\}} = \{f \mid f: \{1, 2, \dots, n\} \rightarrow \Sigma\}$

Example  $x: \{1, 2, \dots, 6\} \rightarrow \{a, b, \dots, z\}$  and  $y: \{1, 2, 3\} \rightarrow \{a, b, \dots, z\}$

$x = \text{school} \in \Sigma^6$  where  $x(1)=s, x(2)=c, \dots, x(6)=l$ ; or  $x = (s, c, h, o, o, l)$

$y = \text{boy} = (b, o, y) \in \Sigma^3$  where  $y(1) = b, y(2) = o, y(3) = y$ .

strings and functions are **isomorphic!**

$\Sigma^+ = \Sigma^1 \cup \Sigma^2 \cup \Sigma^3 \cup \dots = \cup_{i \in N_1} \Sigma^i$  where  $N_1 = \{1, 2, 3, \dots\}$ .

$\Sigma^* = \Sigma^0 \cup \Sigma^1 \cup \Sigma^2 \cup \Sigma^3 \cup \dots = \cup_{i \in N_0} \Sigma^i$  where  $N_0 = \{0, 1, 2, \dots\}$ .

$\Sigma^*$  is a **universe** of strings including **identity**( $\varepsilon$ )

$(\Sigma^*, \cdot, \varepsilon)$  is a (**free**) **monoid** over  $\Sigma$ . (**unique representation**)

$2^{\Sigma^*}$  is a **universe** of languages

$(2^{\Sigma^*}, \cdot, \{\varepsilon\})$  is a (**free**) **induced monoid** over  $\Sigma$ .

## Reversal, prefix and suffix of strings

Let  $x = a_1a_2 \dots a_n$  be a string of length  $n \geq 0$  and  $k \geq 0$ .

$$x^R = a_na_{n-1} \dots a_2a_1. \quad \text{reversal of } x.$$

*recursive definition of reversal of  $x \in \Sigma^*$ .*

$$\varepsilon^R =_B \varepsilon.$$

Let  $a \in \Sigma$  and  $x \in \Sigma^*$ . Then  $(ax)^R =_R x^R a$ .

Ex.  $abc^R =_R bc^R a =_R c^R ba =_R \varepsilon^R cba =_B \varepsilon cba = cba$ .

**Prefix and suffix of a string.** For  $k \in \mathbb{N}$ ,

$k:x = a_1a_2 \dots a_k$ , if  $k \leq n$ ;     **prefix** of  $x$  with length  $k$ .  
 $= x$ , otherwise.

$x:k = (k:x^R)^R$ .     **suffix** of  $x$  with length  $k$ .

Ex.  $3:school = sch$ ,  $boy:2 = oy$ ,  $boy:5 = boy$ .

For  $k \geq 0$ :  $k:, :k: \Sigma^* \rightarrow \Sigma^{\leq k} = \{\varepsilon\} \cup \Sigma \cup \Sigma^2 \cup \dots \cup \Sigma^k$ .