

1.A Sets, Relations, Graphs, and Functions

1.A.1 Set *a collection of objects(element)*

Let A be a set and a be an elements in A, then we write $a \in A$.

How to specify sets

1. *to enumerate all of the elements*
2. *to state the properties that characterizes the elements.*

$$A = \{x / p(x)\}$$

p(x) is a predicate

p(x) is either true or false depending on x

$$A = \{x \in U / p(x)\}$$

A \subseteq U, U is the universe of discourse

x \in U U is the type of x in A

p(x) attribute of x

3. automata, grammars, programs

Three cases for two sets A and B

Case 1. subset

$$A \subseteq B \text{ or } B \subseteq A$$

$$\Leftrightarrow A - B = \emptyset \text{ or } B - A = \emptyset$$

$$\Leftrightarrow A \cap \overline{B} = \emptyset \text{ or } B \cap \overline{A} = \emptyset$$

Case 2. disjoint

$$A \cap B = \emptyset$$

*Case 3. in general(**incomparable**, neither subset nor disjoint)*

$$\text{not}(A \subseteq B \text{ or } B \subseteq A) \text{ and not } (A \cap B = \emptyset)$$

$$\Leftrightarrow A \not\subseteq B \text{ and } B \not\subseteq A \text{ and } A \cap B \neq \emptyset.$$

$$\Leftrightarrow A \cap \overline{B} \neq \emptyset \text{ and } \overline{A} \cap B \neq \emptyset \text{ and } A \cap B \neq \emptyset.$$

Venn diagram

$$\overline{A} \cap \overline{B} ?= \emptyset$$

Cartecian product of two sets, A and B

$$A \times B = \{(a, b) / a \in A, b \in B\}.$$

$(a, b) \in A \times B$ **ordered pair**.

$$|A \times B| = |A| \times |B|.$$

1.A.2 Binary relation R from A to B .

$$R \subseteq A \times B. \quad a \in A, b \in B, (a, b) \in R \text{ or } a R b.$$

$$|R| \leq |A \times B|.$$

Inverse of a relation R , $R^{-1} = \{(b, a) \in B \times A / (a, b) \in R\}$

Composition(Product) of two relations R and S

where $R \subseteq A \times \underline{B}$ and $S \subseteq \underline{B} \times C$.

$$R \cdot S = \{(a, c) / (a, b) \in R, (b, c) \in S\}$$

Binary relation R on A $R \subseteq A \times A$.

$$\textbf{Identity relation } R \text{ on } A \quad id_A = \{(a, a) / a \in A\}$$

$$\forall R \subseteq A \times A, \quad R \cdot id_A = id_A \cdot R = R$$

Repeated composition(product) of a binary relation R .

Let $R \subseteq A \times A$. We define

$$R^2 = R \cdot R, \quad R^3 = R \cdot R \cdot R, \quad \dots \quad R^n = R \cdot R \cdot \dots \cdot R, \text{ and}$$

$R = R^1$. Then we can define

$$R^n R^m = R^{n+m}, \text{ for } (\forall n, m \in \mathbb{N}), n, m \geq 1.$$

$R^0 = ?$ If we define $R^0 = id_A$. Then we can extend the definition

$$R^n R^m = R^{n+m}, \text{ for } n, m \geq 0.$$

Another (**recursive**) definition for **repeated product of binary relations**

$$R^0 =_B id_A. \quad \text{basis}$$

$$R^n =_R R \cdot R^{n-1}, n \geq 1. \quad \text{recursion}$$

$$\text{ex)} R^3 =_R R \cdot R^2 =_R R \cdot R \cdot R^1 =_R R \cdot R \cdot R \cdot R^0 =_B R \cdot R \cdot R \cdot id_A = R \cdot R \cdot R$$

1.A.3 A directed graph $G = (V, E)$ is V : a set of vertices, $E \subseteq V \times V$: a set of edges, E : a binary relation on V ***Some properties of the binary relations***1) R is **reflexive**, if $\forall a \in A, a R a$.

$$id_A \subseteq R$$

 R is **irreflexive**, if $\forall a \in A, a \not R a$.

$$R \cap id_A = \emptyset$$

2) R is **symmetric**, if $a R b$ impiles $b R a$.

$$R = R^{-1}$$

 R is **antisymmetric**, if $a R b$ and $a \neq b$ implies $b \not R a$. $R \cap R^{-1} \subseteq id_A$ R is **asymmetric**, if $a R b$ implies $b \not R a$.

$$R \cap R^{-1} = \emptyset$$

 R is **asymmetric** $\Rightarrow R$ is **irreflexive**. R is **asymmetric** $\Rightarrow R$ is **antisymmetric**.3) R is **transitive**, if $a R b$ and $b R c$ implies $a R c$. $R \cdot R \subseteq R$

Let $\mathbb{P} = \{\text{reflexive, symmetric, transitive}\}$. Then R' be \mathbb{P} -closure of R , if

i) R' is \mathbb{P} .

ii) $R \subseteq R'$.

iii) R' is the **smallest** set among satisfying i) and ii).

$\Leftrightarrow \forall R'' \text{ satisfying i) and ii), } R' \subseteq R''$.

reflexive closure of R , $R' = R \cup id_A$.

symmetric closure of R , $R'' = R \cup R^{-1}$.

transitive closure of R ,

$R^+ = R^1 \cup R^2 \cup R^3 \cup \dots = \cup_{i \in N_1} R^i$ where $N_1 = \{1, 2, 3, \dots\}$.

reflexive-transitive closure of R ,

$R^* = R^0 \cup R^1 \cup R^2 \cup R^3 \cup \dots = \cup_{i \in N_0} R^i$ where $N_0 = \{0, 1, 2, \dots\}$.

What is the **reflexive(-symmetric)-transitive closure** of R in the graph (A, R) ?

Let A be a set and $A_1, A_2, \dots, A_n \subseteq A$. $\{A_1, A_2, \dots, A_n\}$ is called a **partition** of A , written $Par(A)$, if $\cup_{i \in \{1, 2, \dots, n\}} A_i = A$, $1 \leq i \neq j \leq n$: $A_i \cap A_j = \emptyset$.

Power set of a set A ,

$$P(A) = 2^A = \{B / B \subseteq A\} \quad B \subseteq A \Leftrightarrow B \in 2^A.$$

$$|2^A| = 2^{|A}|.$$

$$par(A) \subseteq 2^A.$$

A binary relation R on A is **equivalence**,
 if R is **reflexive, symmetric, and transitive**.

$\text{Par}(A)$ partition of A

A binary relation R on A is **(ir)reflexive partial order**,
 if R is **(ir)reflexive, antisymmetric, and transitive**.

A : partially-ordered set(po set)

Let $R \subseteq A \times A$ be an **equivalence**,

$[a]_R = \{b \in A / a R b\}$ equivalence class,

if $a R b$, $[a]_R = [b]_R$.

$\{[a]_R / a \in A\}$ equivalence partition.

$\cup_{a \in A} [a]_R = A$, if $a R b$. $[a]_R \cap [b]_R = \emptyset$.

Let \leq be a partial order on A . $\leq \subseteq A \times A$

Then (A, \leq) is called as partially ordered set or **poset** for short.

Let (A, \leq) be a poset. We define binary operator on A ,

$$\vee, \wedge : A \times A \rightarrow 2^A$$

$$a \vee b = \min \{c \in A / a \leq c \text{ and } b \leq c\} \quad \text{least upper bound}$$

$$a \wedge b = \max \{c \in A / c \leq a \text{ and } c \leq b\}. \quad \text{greatest lower bound}$$

If a unique lub and a unique glb,

$\vee, \wedge : A \times A \rightarrow A$. (A, \leq) is called as a **lattice** and

(A, \vee, \wedge) is called a **algebra** induced by the lattice (A, \leq) .

Boolean algebra, $(\{f, t\}, \vee, \wedge)$, is induced by the lattice $(\{f, t\}, \{f \leq t\})$.

Let A be a sets. Then

Set algebra on A , $(2^A, \cup, \cap)$, is induced by the lattice $(2^A, \subseteq)$.

Singleton set algebra, $(2^{\{a\}}, \cup, \cap)$, is **isomorphic** to

boolean algebra, $(\{f, t\}, \vee, \wedge)$ with respect to **bijection** g .

What is the **bijective** function g ?

Let A be a set and \oplus be a binary operation on A .

$$\oplus: A \times A \rightarrow A.$$

- i) $\forall a, b \in A, a \oplus b \in A.$ *closed algebraic system*
- ii) $\forall a, b, c \in A, a \oplus (b \oplus c) = (a \oplus b) \oplus c$ *associative semi-group*
binary operation \Rightarrow n-ary operation
- iii) $\exists e \in A . \exists. \forall a \in A, e \oplus a = a \oplus e = a$ *identity monoid*

Let (A, \oplus, e) and $(B, \otimes, \varepsilon)$ be two monoids.

- If
- i) $h: A \rightarrow B$ is a onto function, $|A| \geq |B|$
 - ii) $h(a \oplus b) = h(a) \otimes h(b)$, and *preserve operation*
 - iii) $h(e) = \varepsilon$. *preserve identity*

Then h is called a **homomorphism**, and the monoid (B, \circ, ε) is called a **homomorphic** to the monoid (A, \oplus, e) w.r.t. h .

(A, \oplus, e) is called **concretization** of $(B, \otimes, \varepsilon)$ and
 (B, \circ, ε) is called **abstract interpretation** of (A, \oplus, e) .

If f is one-to-one and onto, f is called **isomorphism**.

1. A. 4 A binary **relation** from A to B is a **function** from A to B , if

1) $\forall a \in A, \exists (a, b) \in f$, **total**

2) $\forall a \in A, \exists_1 (a, b) \in f$. **unique**

$f: A \rightarrow B$ $(a, b) \in f$ or $a f b$ or $f(a) = b$ or $f a = b$.

Three faces of a binary relation

i) $R \subseteq A \times B$. $(a, b) \in R$. i) a set of (ordered) pairs

ii) $R: A \times B \rightarrow \{\text{false}, \text{true}\}$.

$a R b$, iff $(a, b) \in R$. ii) a relational operator ($<$, $=$, \leq)

iii) $R: A \rightarrow 2^B$.

$R(a) = \{b_1, b_2, \dots, b_n\}$, iff $(a, b_1), (a, b_2), \dots, (a, b_n) \in R$.

$\forall a \in A, \exists_1 \{b_1, b_2, \dots, b_n\} \subseteq B$ or $\exists_1 \{b_1, b_2, \dots, b_n\} \in 2^B$.

$\therefore R: A \rightarrow 2^B$. iii) a set valued function

Let $f: A \rightarrow B$. Is $f^{-1}: B \rightarrow A$ a function?

No!!!

Function $f: A \rightarrow B$ is **onto(surjection; correspondence)**, if

$$\forall b \in B, \exists a \in A . \exists. f(a) = b. \quad |A| \geq |B|$$

If f is onto, f^{-1} is **total but not unique** function.

Function $f: A \rightarrow B$ is **one-to-one(injection, 1-1)**, if

$$\forall a_1, a_2 \in A, a_1 \neq a_2 \text{ implies } f(a_1) \neq f(a_2).$$

$$\text{if } \exists b \in B . \exists. f(a) = b, \exists a \in A. \quad |A| \leq |B|$$

If f is 1-1, f^{-1} is **unique but not total** function.

Function $f: A \rightarrow B$ is **bijection**,

iff is both **1-1** and **onto(1-1 correspondence)**.

$$\forall b \in B, \text{if } \exists a \in A . \exists. f(a) = b.. \quad |A| = |B|$$

If f is 1-1 onto, f^{-1} is both **total and unique**, so is a function.

1.B Set isomorphism and infinite sets

If there exists a **bijection**(짝짓기, 1-1 onto) f from A to B ,

two sets A and B have same **cardinality**, written $|A| = |B|$, and
 two sets A and B are said to be **isomorphic** w.r.t. f , written $A \cong_f B$.

A set is said to be **countable**(enumerable),
 if it has the same cardinality with a subset of \mathbb{N} ,
 either finite or infinite
 and **uncountable** (infinite), otherwise.

A set is **countably infinite**, if it has the same cardinality with \mathbb{N} .
 the cardinality of \mathbb{N} is denoted as \aleph_0 , $|\mathbb{N}| = \aleph_0$.

Let A be **countable**. Then we can enumerate the set in numeric order.

$$A = \{a_0, a_1, \dots, a_n\} \quad \text{finite for some } n \geq 0.$$

$$A = \{a_0, a_1, \dots\} \quad \text{infinite(countable, enumerable)}$$

Consider

$N_1 = \{1, 2, 3, \dots\}$	$ N_1 = \mathbb{N} = \aleph, \text{ but } N_1 \subset \mathbb{N}.$
$E = \{e \in \mathbb{N} / e = 2i, i \in \mathbb{N}\}$	$ E = \mathbb{N} = \aleph, \text{ but } E \subset \mathbb{N}.$
$I = \mathbb{N} \cup \{-i / i \in \mathbb{N}\}$	$ I = \mathbb{N} = \aleph, \text{ but } \mathbb{N} \subset I.$
$Q = \mathbb{N} \times \mathbb{N}$	$ Q = \mathbb{N} = \aleph.$

enumerate $(i, j) \in \mathbb{N} \times \mathbb{N}$ in (**natural**) numeric order

$$\mathbb{N} \times \mathbb{N} = \{\underline{(0, 0)}, \underline{(1, 0)}, \underline{(0, 1)}, \underline{(2, 0)}, \underline{(1, 1)}, \underline{(0, 2)}, \underline{(3, 0)}, \underline{(2, 1)}, \dots\}$$

$$\begin{aligned} f(i, j) &= 1 + 2 + 3 + \dots + (i+j) + j & f(0, 0) &= 0 \\ &= (i+j)(i+j+1)/2 + j \end{aligned}$$

$f: \mathbb{N} \times \mathbb{N} \rightarrow \mathbb{N}$ is one-to-one and onto

$$\therefore |\mathbb{N} \times \mathbb{N}| = |\mathbb{N}| = \aleph.$$

Prove $|\Sigma^*| = \aleph_0$.

Proof Canonical(lexicographical) order for Σ^* .

in order of size and if same size, alphabetic order.

Let $|\Sigma| = k$. Then we can alphabetic order $\Sigma = \{a_0, a_1 \dots, a_{k-1}\}$,

and we can order $x \in \Sigma^*$ where $\Sigma^* = \Sigma^0 \cup \Sigma^1 \cup \Sigma^2 \cup \dots = \cup_{i \in N_0} \Sigma^i$.

$\Sigma^* = \{\underline{\epsilon}, \underline{a_0}, \underline{a_1} \dots, \underline{a_{k-1}}, \underline{a_0a_0}, \underline{a_0a_1}, \dots, \underline{a_0a_{k-1}}, \underline{a_1a_0}, \dots, \underline{a_{k-1}a_{k-1}}, \underline{a_0a_0a_0}, \dots, \underline{a_{k-1}a_{k-1}a_{k-1}}, \dots\}$

If $x = a_1a_2 \dots a_n$, the order of $x, f(x)$, is n -digit k -ary number plus base.

$$\begin{aligned} f(x) &= k^0 + k^1 \dots + k^{n-1} + a_1k^{n-1} + a_2k^{n-2} + \dots + a_nk^0 \\ &= (k^n - 1)/(k-1) + a_1k^{n-1} + a_2k^{n-2} + \dots + a_nk^0. \end{aligned}$$

We enumerate $x = a_1a_2 \dots a_n \in \Sigma^*$ in numeric order

$\therefore f: \Sigma^* \rightarrow \mathbb{N}$ is one-to-one onto. Q.E.D.

Consider $\{0, 1\}^{\mathbb{N}}$: **infinite binary strings** (See pp.12)

and $2^{\mathbb{N}}$: **power set of natural numbers** (Note that $2^A = \{B / B \subseteq A\}$)

Cantor's diagonal argument

Assume $2^{\mathbb{N}}$ is **countable**.

We can **enumerate** $|2^{\mathbb{N}}|$ subsets of \mathbb{N} , in numeric order as follows,

$2^{\mathbb{N}} = \{a_0, a_1, \dots, a_i, \dots\}$ where

$$\forall i \in \mathbb{N}, a_i \leftrightarrow (b_{i0}, b_{i1}, \dots, b_{ii}, \dots) \in 2^{\mathbb{N}}$$

$$\forall j \in \mathbb{N}, \text{ if } b_{ij} = 1 \text{ then } j \in a_i \in 2^{\mathbb{N}},$$

$$\text{if } b_{ij} = 0 \text{ then } j \notin a_i \in 2^{\mathbb{N}}.$$

\therefore **Power set of \mathbb{N} \leftrightarrow infinite binary string**

Power set of integers and infinite binary strings are isomorphic.

$$\therefore |2^{\mathbb{N}}| = |\{0, 1\}^{\mathbb{N}}|.$$

Consider $a \leftrightarrow (\bar{b}_0, \bar{b}_1, \dots, \bar{b}_i, \dots)$ where $\forall i \in \mathbb{N}, \bar{b}_i = 1$, if $b_{ii} = 0$,
 $\bar{b}_i = 0$, if $b_{ii} = 1$.

Since $\forall i \in \mathbb{N}, \bar{b}_i \neq b_{ii}$, $\therefore \forall i \in \mathbb{N}, a \neq a_i$.
 $\therefore a \notin \{a_0, a_1, \dots, a_i, \dots\}$.

But $a \in 2^{\mathbb{N}}$ by the **definition** of power set.

$a \notin \{a_0, a_1, \dots, a_i, \dots\}$ but $a \in 2^{\mathbb{N}}$.
 \therefore Contradiction!!!

We fail to enumerate $2^{\mathbb{N}} = \{a_0, a_1, \dots, a_i, \dots\}$ in numeric order!

\therefore We conclude that $|2^{\mathbb{N}}| > |\{a_0, a_1, \dots, a_i, \dots\}| = \aleph$.

$2^{\mathbb{N}}$ is **uncountable**.

$\{0, 1\}^*$ vs $\{0, 1\}^{\mathbb{N}}$.

$\{0, 1\}^*$: **all(countably infinite union of finite binary strings**

$$\begin{aligned} &= \{0, 1\}^0 \cup \{0, 1\}^1 \cup \{0, 1\}^2 \cup \{0, 1\}^3 \cup \dots \\ &= \{\varepsilon\} \cup \{0, 1\} \cup \{00, 01, 10, 11\} \cup \{000, \dots, 111\} \cup \dots \\ &= \{\varepsilon, 0, 1, 00, 01, 10, 11, 000, \dots, 111, \dots\} \end{aligned}$$

$\{0, 1\}^{\mathbb{N}}$: **all(uncountably infinite union of) infinite binary strings**

$$\begin{aligned} &= \{000\dots000\dots, && \leftrightarrow \{\} \\ &\quad 100\dots000\dots, && \leftrightarrow \{0\} \\ &\quad 010\dots000\dots, && \leftrightarrow \{1\} \\ &\quad 110\dots000\dots, && \leftrightarrow \{0, 1\} \\ &\quad \dots, \\ &\quad 111\dots111\dots\} && \leftrightarrow \{0, 1, 2, \dots\} = \mathbb{N} \end{aligned}$$

$$|\{0, 1\}^{\mathbb{N}}| = |2^{\mathbb{N}}| > |\{0, 1\}^*| = \aleph.$$

Cantor's diagonal argument

Complement of diagonal element

Russel's paradox

$$S = \{x / x \notin x\}$$

$$x \in S, \text{ iff } x \notin x.$$

But $S \in S$, iff $S \notin S$. contradictory!

Halting problem

$H(P)$: if $\text{halt}(P, P)$ then loop forever

elses not $\text{halt}(P, P)$ then stop fi

What happens if $H(H)$ stops or loops forever?

Denial of self recursion

Σ^* is **countable**.

strings are countable

But is 2^{Σ^*} **uncountable**.

languages are uncountable

class of languages

N. Chomsky

Finite(countable)

Countably infinite

*natural numbers, integers, rational numbers,
finite strings ...*

Uncountable

Cantor's diagonal argument

power set of natural numbers

infinite strings

real numbers

Some informal descriptions on countable and uncountable infiniteness

$$\aleph \pm k = \aleph \qquad \aleph \times k = \aleph \qquad \text{countable}$$

$$\aleph \times \aleph = \aleph \qquad \aleph^k = \aleph \qquad \text{countable}$$

$$\text{But } k \times k \times \dots = k^\aleph > \aleph \qquad \text{uncountable} (k \geq 2)$$

1.C Strings and languages, revisited.

Concatenation of strings, revisited

$$\therefore \Sigma^* \times \Sigma^* \rightarrow \Sigma^*.$$

a function from two strings to a string

a binary operation on strings

- | | |
|--|---|
| (1) $\forall x, y \in \Sigma^*, xy \in \Sigma^*$. | <i>closed</i> |
| (2) $\exists x, y \in \Sigma^*, xy \neq yx$ | <i>noncommutative</i> |
| (3) $\forall x, y, z \in \Sigma^*, x(yz) = (xy)z$ | <i>associative</i> |
| (4) $\forall x \in \Sigma^*, \varepsilon x = x\varepsilon = x$ | <i>ε is the identity element</i> |
- $\therefore (\Sigma^*, \cdot, \varepsilon)$ is a noncommutative monoid.*

*Another (**recursive**) definition of Σ^* .*

basis $\varepsilon \in \Sigma^*$ and $\forall a \in \Sigma, a \in \Sigma^*$.

recursion 1 *If $x, y \in \Sigma^*$, then $xy \in \Sigma^*$.*

recursion 2 *If $x \in \Sigma^*$ and $a \in \Sigma$, then $xa \in \Sigma^*$.*

**Extend the domain and range of the concatenation
from strings to languages(set of strings)**

$$\therefore 2^{\Sigma^*} \times 2^{\Sigma^*} \rightarrow 2^{\Sigma^*}.$$

Let $L, S \subseteq \Sigma^$ (or $L, S \in 2^{\Sigma^*}$). Then we define*

$$LS = \{xy \mid x \in L, y \in S\}$$

$$|LS| \leq |L| \times |S|$$

$(2^{\Sigma^*}, \cdot, \{\varepsilon\})$ is a (induced) noncommutative **monoid**.

power of an alphabet revisited

$$\Sigma^0 = \{\varepsilon\} \quad \text{basis}$$

$$\Sigma^n = \Sigma\Sigma^{n-1} \text{ for } n \geq 1 \quad \text{recursion}$$

$$|\Sigma^n| = |\Sigma|^n.$$

We can extend to the power of languages

$$L^0 = \{\varepsilon\} \quad \text{basis}$$

$$L^n = LL^{n-1} \text{ for } n \geq 1 \quad \text{recursion}$$

$$|L^n| \leq |L|^n.$$

$$L^* = L^0 \cup L^1 \cup L^2 \cup \dots = \bigcup_{i \in N_0} L^i.$$

$$L^+ = L^1 \cup L^2 \cup L^3 \cup \dots = \bigcup_{i \in N_1} L^i.$$

For any $L \subseteq \Sigma^*$, $L^* \subseteq \Sigma^*$.

$\varepsilon \notin \Sigma^+$, but $\varepsilon \in L^+$, only if $\varepsilon \in L$.

String, revisited

Let x be a string over Σ . Then we write $x \in \Sigma^*$.

Let $|x| = n (n \geq 0)$. Then

$x = a_1 a_2 \dots a_n \in \Sigma^n$ or Σ^* (if $n=0$, $x = a_1 a_2 \dots a_n = \varepsilon$)

where $1 \leq^\forall i \leq n$, $a_i \in \Sigma$.

Consider a function $x: \{1, 2, \dots, n\} \rightarrow \Sigma$.

$1 \leq^\forall i \leq n$, if $x(i) = a_i$, we can write $x = (a_1, a_2, \dots, a_n)$

$a_1 a_2 \dots a_n \leftrightarrow^{1:1} (a_1, a_2, \dots, a_n)$

The strings over Σ of length n (Σ^n),

is **isomorphic** to the functions from $\{1, 2, \dots, n\}$ to Σ w.r.t. f.

Let $B^A = \{f | f: A \rightarrow B\}$. Then $|B^A| = |B|^{|A|}$.

$|\Sigma^n| = |\Sigma^{\{1, 2, \dots, n\}}| = |\Sigma|^n$.

Let $x = a_1a_2 \dots a_n$ be a string of length n and $k \geq 0$.

$$x^R = a_na_{n-1} \dots a_2a_1. \quad \text{reversal of } x.$$

$$\begin{aligned} k:x &= a_1a_2 \dots a_k, \text{ if } k \leq n; & \text{prefix of } x \text{ with length } k. \\ &= x, \text{ otherwise.} \end{aligned}$$

$$x:k = (k:x^R)^R. \quad \text{suffix of } x \text{ with length } k.$$