

## 3-1 Cardinality of Sets and Infinite Sets

**Def.** Let  $X$  and  $Y$  be two sets. We define a set of functions from  $X$  to  $Y$  as

$$Y^X = \{f \mid f: X \rightarrow Y\}.$$

**Thm.** Let  $X$  and  $Y$  be two sets. The  $|Y^X| = |Y|^{|X|}$ .

**proof** Let  $|X| = m$ ,  $X = \{1, 2, \dots, m\}$  and  $|Y| = n$ .

$$Y^X = \{(y_1, y_2, \dots, y_m) \in Y^m \mid 1 \leq \forall i \leq m: f(i) = y_i\}$$

$m$ -bit  $n$ -ary string.  $\therefore |Y^X| = n^m = |Y|^{|X|}$ .

**Lemma** Let  $f$  be a function. Then  $|\text{dom}(f)| \leq |\text{codom}(f)|$ .

**proof**  $f$  is not onto.

**Fact** Let  $f$  be a function. Then  $|\text{range}(f)| \leq |\text{codom}(f)|$ .

**proof**  $\text{range}(f) \subseteq \text{codom}(f)$ .

**Question** Let  $f$  be a function. Then  $|\text{dom}(f)| <^{=?} =^{=?} > |\text{range}(f)|$ . 정의역:치역

**Lemma** If there is a **1-1** function  $f: X \rightarrow^{1-1} Y$ . Then  $|X| \leq |Y|$ .

**proof** Since  $f$  is **1-1**,  $|X| =_{1-1} |\text{range}(f)| \leq |\text{codom}(f)| = |Y| \therefore |X| \leq |Y|$ .

**Lemma** If there is a **onto** function  $f: X \rightarrow^{\text{onto}} Y$ . Then  $|X| \geq |Y|$ .

**proof** Since  $f$  is **onto**,  $|X| \geq_{\text{onto}} |\text{codom}(f)| = |Y| \therefore |X| \geq |Y|$ .

**Theorem** If there is a **1-1** and **onto** function  $f: X \rightarrow^{1-1, \text{onto}} Y$   
or  $f: X \leftrightarrow Y$ , for short. Then  $|X| = |Y|$ .

## Cardinality of Infinite Sets

**Def.** A set that has same cardinality with a subset of  $\aleph$  (natural number) is called **countable**. A set that is **not countable** is called **uncountable**.

When an **infinite** set  $A$  is **countable**, we denote cardinality of  $A$  as  $\aleph_0$  (**aleph null**).  $|A| = \aleph_0$  (or  $\aleph$  for short).

Three **disjoint** cases (or **partitions**) of the **cardinalities** of Sets

1) **finite** (or **countable**)

$$\{x_1, x_2, \dots, x_n\}$$

2) **countably infinite** (or **countable**)

$$\{x_1, x_2, \dots, x_n, \dots\}$$

3) **uncountable** (or **uncountably infinite**) See supplement of Ch. 12

$$\{x_1, x_2, \dots\} \quad ???$$

## Extension of Set Equivalence and Cardinality Revisited

**Definition 5.1** Let  $A$  and  $B$  are sets. We say the **cardinalities** of  $A$  and  $B$  are same,  $|A| = |B|$ , if there is a **bijection**  $f: A \leftrightarrow B$ .

We say that two sets  $A$  and  $B$  are **isomorphic** with respect to  $f$ ,  $A \cong_f B$ .

If  $f$  is a **bicection** from  $A$  to  $B$  and vice versa:  $f: A \leftrightarrow B$ .

$$\forall a \in A \exists! f(a) \in B \text{ and } \forall b \in B \exists! f^{-1}(b) \in A.$$

We can identify  $B$  with  $A$  and  $f$ , and identify  $A$  with  $B$  and  $f^{-1}$  (vice versa)

**Set Isomorphism**

**Extended Set Equivalence**

If  $A \subset B$ , then  $|A| < |B|$  is **true**, only if  $A$  and  $B$  are finite.

### Counter example

Let  $\aleph_0 = \{0, 1, \dots\}$  and  $\aleph_1 = \{1, 2, \dots\} = \mathbf{Z}^+$  be two **infinite** sets.

$f: \aleph_0 \rightarrow \aleph_1$  where  $f(n) = n+1$

*Is  $f$  a function?*

*Is  $f$  1-1?*

*Is  $f$  onto?*

*What is  $f^{-1}$ ?*

$\therefore \aleph_0 \cong_f \aleph_1$

$\therefore |\aleph_0| = |\aleph_1|$

$\aleph_0 \supset \aleph_1$  but  $|\aleph_0| = |\aleph_1|$ .

*Can you believe it?*

*But it is true in the world of infinite sets!*

$\therefore$  So we add **0** to the **natural numbers**.

$\mathbf{N} = \{0, 1, 2, 3, \dots\}$

But let  $A$  and  $B$  be **infinite** sets. If  $A \subset B$ , then  $|A| \leq |B|$ .

$\mathbf{E} = \{n \mid \exists n \in \mathbb{N}, n = 2k\}$      $\mathbb{N} \supset \mathbf{E}$  but  $|\mathbb{N}| = |\mathbf{E}|$ .    What is a **bijection**?  
 $|\mathbf{Z}| \stackrel{!}{=} 2|\mathbb{N}| + 1$  and     $\mathbb{N} \subset \mathbf{Z}$  but  $|\mathbb{N}| = |\mathbf{Z}|$ .    What is a **bijection**?

Consider a **pair** of natural numbers  $\mathbb{N}_0 \times \mathbb{N}_0 = \{(i, j) \mid i, j \in \mathbb{N}_0\}$ .

$f: \mathbb{N}_0 \times \mathbb{N}_0 \rightarrow \mathbb{N}_0$      $f(i, j) = (i+j)(i+j+1)/2 + j$ . What is  $f^{-1}$ ?

$f$  is a **bijection**.

$$\therefore |\mathbb{N}| = |\mathbb{N} \times \mathbb{N}| = |\mathbb{N}^2| = |\mathbf{Q}| = \aleph.$$

$|\mathbb{N}^k| = \aleph \quad \forall k \geq 1$     What is a **bijection**?

Even(Odd) numbers, positive integers, natural numbers,  
and even rational numbers are all **countably infinite**.

Are there any **infinite** sets whose cardinality is larger than  $\aleph$ ?