

2 Basic Structures: Sets, Functions, Sequences, and Sums

2.1 Sets

Definition 1 A set is an unordered collection of objects.

Cantor's naive set theory

Russel's paradox

$$S = \{x \mid x \notin x\}$$

$$S \in S \text{ iff } S \notin S. \quad \text{contradictory}$$

Self denial is a contradiction

How about the set

$$\bar{S} = \{x \mid x \in x\}$$

Halting problem

*Is there a program that reads program and data, and decides whether the program with the data will **halt or not**?*

In Chap. 3.1

Some similar examples in the world

*A barber who shave everybody who can **not** shave himself.*

*Shall the barber shave **himself**?*

*An adjective is heterological, if the adjective does **not** possess the property it describes. (monosyllabic, polysyllabic)*

*Is the adjective “**heterological**” **heterological**?*

How about “homological”?

*There is a sign that “It is written by **me**(**liar**)”.*

*Did you(**liar**) write it?*

Contradiction

***denial** of **self** recursion!*

Definition 2 An object in a set is called **element** or **member** of the set. A set is said to contain its elements.

$a \in A$ “ a is an element of the set A ”

$a \notin A$ “ a is **not** an element of the set A ”

Two ways of to define sets

i) To enumerate the elements

$A = \{a_1, a_2, \dots, a_n\}$ finite

$A = \{a_1, a_2, \dots\}$ infinite

ii) to specify condition with predicate

$A = \{x \mid P(x)\}$

$A = \{x \in U \mid P(x)\}$ U : **universe**(domain) of discourse

iii) to write a program(?)

CS322

Some important sets in discrete mathematics

$$\mathbf{N} = \{0, 1, 2, 3, \dots\}$$

set of natural numbers.

$$\mathbf{Z} = \{\dots, -2, -1, 0, 1, 2, 3, \dots\}$$

set of integers.

$$\mathbf{Z}^+ = \{1, 2, 3, \dots\}$$

set of positive natural numbers.

$$\mathbf{Q} = \{p/q \mid p \in \mathbf{Z}, q \in \mathbf{Z} \text{ and } q \neq 0\}$$

set of rational numbers.

\mathbf{R}

set of real numbers.

Definition 3 *Two sets are **equal** if and only if they have same elements.*

$A = B$ “Two set A and B are equal”

$\{\}, \emptyset$ **empty set** “a set that has no elements”

note: $\{\} = \emptyset \neq \{\emptyset\}$.

Definition 4 *The set A is said to **subset** of B, if and only if, every elements of A is also an elements of B, and denoted as $A \subseteq B$.*

$$A \subseteq B \Leftrightarrow \forall x \in A \Rightarrow x \in B$$

For two sets A and B , write $A \subset B$ and say that A is a proper subset of B , if and only if, $A \subseteq B$ and (but) $A \neq B$ ($\Leftrightarrow \neg(A = B)$).

$$A \subset B \Leftrightarrow A \subseteq B \wedge A \neq B$$

New Definition for Equality of sets

Definition 3.1 Two sets A and B are **equal** if and only if A is a subset of B and B is a subset of A (vice versa).

$$\begin{aligned} A = B, & \quad \equiv A \subseteq B \wedge B \subseteq A \\ & \quad \equiv (\forall x \in A \Rightarrow x \in B) \wedge (\forall x \in B \Rightarrow x \in A) \\ & \quad \equiv \forall x \in A \Leftrightarrow x \in B \end{aligned}$$

Two prove $A = B$

$$\begin{array}{ll} \text{i) } \forall x \in A \Rightarrow x \in B) & A \subseteq B, \text{ and} \\ \forall x \in B \Rightarrow x \in A) & B \subseteq A. \end{array}$$

ii) Venn Diagram

with n set variables, 2^n membership regions
similar to truth table in logic

Theorem 1 For any set S ,

- (i) $\emptyset \subseteq S$ (ii) $S \subseteq S$.
 (i) $\forall S(\emptyset \subseteq S)$ (ii) $\forall S(S \subseteq S)$

Definition 5 Let S be a set. If there are **exactly** n elements in S where n is a nonnegative integer, we say that S is **finite** set and that n is the **cardinality** of the set S , and denoted as $|S|$.

Definition 6 A set is said to be **finite**, if the cardinality of set is finite. A set is said to be **infinite** if it is not finite.

The Power Set

Definition 7 Given a set S , the power set of S , denoted by $P(S)$ or 2^S , is set of all subsets of S .

$$P(S) = 2^S \equiv \{A \mid A \subseteq S\}$$

$$|P(S)| = |2^S| = 2^{|S|}.$$

Cartesian Products

Definition 9 Let A and B be sets. The **Cartesian product** of set A and B , denoted by $A \times B$, is ...

$$A \times B = \{(a, b) \mid a \in A \wedge b \in B\}.$$

$$|A \times B| = |A| \times |B|$$

Definition 10 The Cartesian product of the sets A_1, A_2, \dots, A_n , denoted by

$$A_1 \times A_2 \times \dots \times A_n = \{(a_1, a_2, \dots, a_n) \mid a_i \in A_i \text{ for } i = 1, 2, \dots, n\}$$

Definition 8 The **ordered n -tuple** (a_1, a_2, \dots, a_n) is the **ordered collection**, that has a_1 as its first element, a_2 as its second element, ..., a_n as its n -th element.

$$(a_1, a_2, \dots, a_n) = (b_1, b_2, \dots, b_n), \text{ iff, } a_i = b_i \text{ for } i = 1, 2, \dots, n.$$

$$(a_1, a_2, \dots, a_n) = (b_1, b_2, \dots, b_n) \equiv (a_1 = b_1) \wedge (a_2 = b_2) \wedge \dots \wedge (a_n = b_n).$$

We call **ordered pair**, for 2-tuples.

The ordered pairs $(a, b) = (c, d)$, iff, $a = c \wedge b = d$.

Note that $(a, b) \neq (b, a)$

If $R \subseteq A \times B$, the R is called a **relation** from A to B . (Chap. 8)

$A \times B \neq B \times A$.

We write $a R b$, if $(a, b) \in R$.

Two aspects of the relation

i) subset of $A \times B$, $(a, b) \in R$.

$$R \subseteq A \times B$$

ii) infix binary boolean operation, $a R b$,

$$R: A \times B \rightarrow \{\mathbf{T}, \mathbf{F}\}$$

2.2 Set Operations

Definition 1 Union

$$A \cup B = \{x \mid x \in A \vee x \in B\}$$

Definition 2 Intersection

$$A \cap B = \{x \mid x \in A \wedge x \in B\}$$

Definition 3 Two sets A and B are called **disjoint**, iff, their intersection ...

$$A \cap B = \emptyset.$$

$$|A \cup B| = |A| + |B| - |A \cap B|$$

principles of set of inclusion-exclusion

Definition 4 Difference

$$A - B = \{x \mid x \in A \wedge x \notin B\}$$

Let domain of discourse for sets be U , U is called **universe** of the set.

Definition 5 Let U be a universe. The **complement** of the set A , denoted \bar{A} , is called the complement of A with respect to (w.r.t.) U is ...

$$\bar{A} = U - A = \{x \mid x \in U \wedge x \notin A\} = \{x \in U \mid x \notin A\}$$

Four regions in the Venn diagram for two sets A and B .

$$i) A \cap B \quad ii) \bar{A} \cap B \quad iii) A \cap \bar{B} \quad iv) \bar{A} \cap \bar{B}$$

membership table

Four cases for relations on two set in the Venn Diagram

$i) (A \cap \bar{B} = \emptyset) \wedge (\bar{A} \cap B = \emptyset)$	$\equiv A = B,$	equal
$ii) (A \cap \bar{B} = \emptyset) \text{ or } (\bar{A} \cap B = \emptyset)$	$\equiv A \subseteq B \text{ or } B \subseteq A,$	subset
$iii) (A \cap B = \emptyset)$	$\equiv A \cap B = \emptyset,$	disjoint
$iv) \text{ otherwise}$		incomparable

Set Identities

$$A \cup \emptyset = A$$

$$A \cup U = U$$

$$A \cup A = A$$

$$A \cap U = A$$

$$A \cap \emptyset = \emptyset$$

$$A \cap A = A$$

Identity laws

Domination laws

Idempotent laws

$$\overline{\overline{A}} = A$$

Double complement law

$$A \cup B = B \cup A$$

$$A \cap B = B \cap A$$

Commutative laws

$$A \cup (B \cup C) = (A \cup B) \cup C \quad A \cap (B \cap C) = (A \cap B) \cap C \quad \text{associative ...}$$

$$A \cup (B \cap C) = (A \cup B) \cap (A \cup C) \quad A \cap (B \cup C) = (A \cap B) \cup (A \cap C) \quad \text{distributive ...}$$

$$\overline{A \cup B} = \overline{A} \cap \overline{B}$$

$$\overline{A \cap B} = \overline{A} \cup \overline{B}$$

De Morgan's laws

$$A \cup (A \cap B) = A$$

$$A \cap (A \cup B) = A$$

Absorption laws

$$A \cup \overline{A} = U$$

$$A \cap \overline{A} = \emptyset$$

Complement laws

See Table 6 (logical equivalence) of Section 1.2

($\{\mathbf{T}, \mathbf{F}\}, \neg, \vee, \wedge$) vs ($\{U, \emptyset\}, \overline{}, \cup, \cap$)

*propositional logic and boolean algebra are isomorphic
complete lattice*

Generalized Union and Intersections

Definition 6

$$A_1 \cup A_2 \cup \dots \cup A_n = \cup_{i=1}^n A_i.$$

Definition 7

$$A_1 \cap A_2 \cap \dots \cap A_n = \cap_{i=1}^n A_i.$$

*Note that \cup and \cap are **associative** and n -ary operator.*

2.3 Functions

Definition 1 Let A and B be nonempty sets.

A **function(mapping, transformation)** f from A to B is

an assignment of exactly **one** element of B to **each**(**all**) element of A .

We write $f(a) = b$, if b is the unique element of B assigned to a of A .

We write $f: A \rightarrow B$.

total: to all elements of A (domain)

unique: exactly one elements of B (codomain)

$f: A \rightarrow B$ is a relation from A to B , $f \subseteq A \times B$.

If $f(a)=b$, we write $(a, b) \in f$ or $(a, f(a)) \in f$.

$$f = \{(a, f(a)) \mid \forall a \in A\}$$

$$B^A = \{f: A \rightarrow B\}$$

$$|B^A| = |B|^{|A|}$$

Let $f: A \rightarrow A$, then f is called a function on A .

Definition 2 Let $f: A \rightarrow B$.

A is a **domain** of f , B is a **codomain(range)** of f .

If $f(a)=b$, b is the **image** of a and a is the **preimage** of b .

Two functions f and g are said to be **equal**, if $f = g$.(set equivalence).

Definition 3 Let $f_1, f_2: A \rightarrow \mathbf{R}$. $f_1+f_2, f_1 f_2: A \rightarrow \mathbf{R}$ is defined by

$$(f_1+f_2)(x) = f_1(x) + f_2(x) \text{ and } f_1 f_2(x) = f_1(x)f_2(x).$$

Definition 4 Let $f: A \rightarrow B$ and $S \subseteq A$. Then the **imange** of S under f is

$$\begin{aligned} f(S) &= \{t \in B \mid \exists s \in S (t = f(s))\} \text{ or} \\ &= \{f(s) \in B \mid \forall s \in S\} \text{ for short.} \end{aligned}$$

The **range** of f is $f(A)$.

One-to-One and Onto function

Definition 5 Let $f: A \rightarrow B$. f is **one-to-one**(1:1) or **injective**, iff

$$\forall a \in A \forall b \in A (a \neq b \rightarrow f(a) \neq f(b)), \quad \text{or logically equivalent}$$

$$\forall a \in A \forall b \in A (f(a) = f(b) \rightarrow a = b).$$

A injective function is called **injection**.

Definition 6 Let $f: A \rightarrow B$ and (A, \leq) and (B, \leq) are **posets**(See 8.6),

if $x, y \in A$ and $x < y$, $f(x) \leq f(y)$, f is called **increasing** and

$f(x) < f(y)$, f is called **strictly increasing**

$f(x) \geq f(y)$, f is called **decreasing**

$f(x) > f(y)$, f is called **strictly decreasing**

Definition 7 Let $f: A \rightarrow B$. f is **onto** or **surjective**, iff

$$\forall b \in B \exists a \in A (f(a) = b), \quad \text{or} \quad f(A) = B.$$

A surjective function is called **surjection** or **correspondence**.

Definition 8 Let $f: A \rightarrow B$. f is **one-to-one correspondence** or **one-to-one onto** or **bijective**, if f is both **one-to-one (injective)** and **onto (surjective)**.

Theorem 1 Let A and B are sets.

$|A| \leq |B|$, if there is a **injection** $f: A \rightarrow B$.

$|A| \geq |B|$, if there is a **surjection** $f: A \rightarrow B$.

$|A| = |B|$, if there is a **bijection** $f: A \rightarrow B$.

Definition 9 Let $f: A \rightarrow B$ and f be **one-to-one (injective)** and **onto (surjective)** (**bijective**). The **inverse** of f also is a function,

denoted $f^{-1}: B \rightarrow A$, is defined by

$f^{-1} = \{(b, a) \mid a \in A, f(a) = b \in B\}$ or

$f^{-1}(b) = a$ when $f(a) = b$.

Extension of Set Equivalence and Cardinality Revisited

Definition 5.1 Let A and B be sets. We say the **cardinalities** of A and B are same, $|A| = |B|$, if there is a **bijection** $f: A \leftrightarrow B$.

We say that two sets A and B are **isomorphic** with respect to f , $A \cong_f B$.

If f is a **bicection** from A to B and vice versa: $f: A \leftrightarrow B$.

$$\forall a \in A \exists! f(a) \in B \text{ and } \forall b \in B \exists! f^{-1}(b) \in A.$$

We can identify B with A and f , and identify A with B and f^{-1} (vice versa)

Set Isomorphism

Extended Set Equivalence

Definition 10 Let $g: A \rightarrow B$ and $f: B \rightarrow C$. The composition of f and g , denoted by $f \circ g: A \rightarrow C$, is defined by

$$(f \circ g)(a) = f(g(a)) \text{ or } f \circ g = \{(a, c) \mid f(a) = b, g(b) = c\}.$$

Identity function(relation) on A

$$\iota_A = \{(a, a) \mid a \in A\} \quad \text{or } \forall a \in A \iota_A(a) = a.$$

Let $f: A \rightarrow A$. Then

$$f \circ \iota_A = \iota_A \circ f = f.$$

Definition 11 Let $f: A \rightarrow B$. The **graph** of the function f is defined by

$$f = \{(a, b) \mid a \in A, f(a) = b \in B\}$$

Definition 12 The **floor** and **ceiling** function: $\lfloor \cdot \rfloor \lceil \cdot \rceil: \mathbf{R} \rightarrow \mathbf{Z}$,

$\lfloor x \rfloor = n \in \mathbf{Z}$, n is the **largest integer** such that $n \leq x$. (**floor**)

$\lceil x \rceil = n \in \mathbf{Z}$, n is the **smallest integer** such that $n \geq x$. (**ceiling**)

Three face of the relation R form A to B : $R \subseteq A \times B$.

i) R is a subset of pairs

$R \subseteq A \times B, (a, b) \in R$ where $a \in A$ and $b \in B$.

ii) R is a infix binary boolean(relational) operator

$R: A \times B \rightarrow \{\text{true}, \text{false}\}$

$a R b$ where $a \in A$ and $b \in B$.

iii) R is a function from A to 2^B :

$R: A \rightarrow 2^B$.

$R(a) = \{b_1, b_2, \dots, b_n\}$ where $a \in A$ and $\{b_1, b_2, \dots, b_n\} \in 2^B$.

if $1 \leq \forall i \leq n, (a, b_i) \in R$ or $a R b_i$ for $n \geq 0$,

if $n=0, R(a) = \{b_1, b_2, \dots, b_n\} = \emptyset$.

Note that $\forall a \in A, \exists \{b_1, b_2, \dots, b_n\} \in 2^B$ is unique.

set valued function.

2.4 Sequences and Summations

Sequence $\{a_n\}$

$a: \mathbf{N} \rightarrow \mathbf{R}$. We write a_n instead of $a(n)$.

Let $s: \{1, 2, \dots, n\} \rightarrow \{a, b, \dots, z\}$.

We write $s = \text{boy}$ or $s = (b, o, y)$

instead of $s(1) = b, s(2) = o, s(3) = y$.

s is called the **finite string** over V of length n .

V is called the **vocabulary(alphabet)** of string s .

Some Useful Sequences

polynomial sequences n^2, n^3, n^4, \dots

exponential sequences $2^n, 3^n, \dots, n!$

Cardinality

Definition 4 The sets A and B have the same **cardinality**, if and only if, there is a one-to-one correspondence from A to B .

Cardinality

Definition 5 A set is either **finite** or **same cardinality** with \mathbf{Z}^+ (positive integers) is called **countable**. A set that is not countable is called **uncountable**. When an infinite set S is countable, we denote cardinality of S as \aleph_0 (aleph null). $|S| = \aleph_0$.

$\mathbf{Z}^+ \subset \mathbf{N}$	but $ \mathbf{Z}^+ = \mathbf{N} = \aleph_0$.	See example 18
$\mathbf{Z} \supset \mathbf{N}$	but $ \mathbf{Z} = \mathbf{N} = \aleph_0$.	???
$\mathbf{Q} \supset \mathbf{N}$	but $ \mathbf{Q} = \mathbf{N} = \aleph_0$.	See example 20
$\mathbf{R} \supset \mathbf{N}$	but $ \mathbf{R} > \mathbf{N} = \aleph_0$.	See example 21

Cantor Diagonalization Argument(1879)

Consider $f: \mathbf{N} \rightarrow \{0, 1\}$

f is called **infinite binary string**

Consider the cardinality of $\{0, 1\}^{\mathbf{N}} \cong 2^{\mathbf{N}}$.

Assume $|2^{\mathbf{N}}| = \aleph_0$. Then we can **enumerate** binary strings B_i for $i \in \mathbf{N}$.

$$B_0 = (b_{00}, b_{01}, b_{02}, \dots)$$

$$B_1 = (b_{10}, b_{11}, b_{12}, \dots)$$

$$B_2 = (b_{20}, b_{21}, b_{22}, \dots)$$

...

Consider a binary string $B = (b_0, b_1, b_2, \dots)$

where $b_n = 0$ if $b_{nn} = 1$; $b_n = 1$, if $b_{nn} = 0$.

$\forall n \in \mathbf{N} B \neq B_n$. But $B \in 2^{\mathbf{N}}$.

\therefore The assumption $|2^{\mathbf{N}}| = \aleph_0$ was **wrong**.

$\therefore |2^{\mathbf{N}}| > \aleph_0$.

$2^{\mathbf{N}}$ is **uncountable**.

Note that core of the proof is **complement of diagonal**(self denial)