

# 9 Graphs

## 9.1 Graphs and Graph Models

**Definition 1** An undirected graph (ugraph, simple graph)  $G = (V, E)$  where edge is a collection of two elements subsets (unordered pair) of  $V$ .

$$\{u, v\} \in E \quad \text{vs. } (u, v), (v, u) \in E.$$

Edge  $\{u, v\}$  connects two end points  $u, v$ .

**Multigraph**  $G = (V, E, f)$

$$f: E \rightarrow \mathbf{N}$$

edge  $\{u, v\}$  of multiplicity  $m$ ,  $f(\{u, v\}) = m \in \mathbf{N}$ .

**Pseudograph**  $G = (V, E)$

Edge is a collection of **one or two elements** subsets of  $V$ .

$\{u, v\} \in E$  connects  $u$  and  $v$

$\{u\} \in E$  self-loop

**Definition 2** A **directed graph (digraph)**  $G$  on the set of **vertices**  $V$  is,  $G = (V, E)$  where  $E \subseteq V \times V$ . A pair  $(u, v) \in E$  is called **edge (arc)** and said to **starts at the vertex (node)  $a$  and ends at the vertex  $b$** .

**Edge is a binary relation on  $V$ .**

*digraph and relation*

*Reflexivity*      *All vertices have self-loop*

*Irreflexivity*      *No vertices have self-loop*

*Symmetry*      *All edges are bidirectional*

*Antisymmetry*      *All edges are unidirectional, self-loop is allowed*

*Asymmetry*      *All edges are unidirectional, self-loop is **not** allowed*

*Transitivity*      *All paths should be an edge*

**Definition 2.1** Let  $G = (V, E)$  be a digraph. Then a **path** is defined as a **sequence** of vertices  $a_0, a_1, \dots, a_n$  with  $n \geq 0$  such that

$(a_i, a_{i+1}) \in E, 0 \leq \forall i < n$ . The path **starts**  $a_0$  and **ends**  $a_n$ .

The **length** of the path is defined to be  $n$ .

$a \in V$  path of length 0

A path is a **cycle** if  $a_0 = a_n$ .

$a E^* b$  there is a path from  $a$  to  $b$

$a E^+ b$  there is a positive length path from  $a$  to  $b$

**Definition 2.2** A **cycle** is a positive length path that begins and ends **same** vertex. A **directed acyclic graph**(DAG) is a directed graph with **no cycle**.

**Lemma** If  $G$  is a DAG,  $G^+$  is a **irreflexive partial order**.

## 9.2 Graph Terminology and Special Types of Graphs

Consider simple graph(ugraph)

### Definition 1 Adjacency

edge  $\{u, v\} \in E$

$u, v$  are **adjacent(neighbors, connected)**

Edge  $\{u, v\}$  **connects(is incident with)** vertices  $u$  and  $v$ .

Vertices  $u$  and  $v$  are **endopints** of edge  $\{u, v\}$ .

### Definition 2 Degree of Vertex, $v \in V, \deg(v) \in \mathbf{N}$ .

*the number edges incident with it(except self-loop counts twice)*

If  $\deg(v) = 0$ ,  $v$  is called **isolated**.

If  $\deg(v) = 1$ ,  $v$  is called **pendant**.

***Theorem 1 The Handshaking Theorem***

$$\sum_{v \in V} \deg(v) = 2|E|.$$

*proof* Every edge contributes two to the sum of the degrees.

***Theorem 2*** Any undirected graph has **even** number of vertices of **odd** degree.

**Some Special Simple Graphs**

**Complete** graph, for  $n \geq 1$ ,  $n$  vertices  $K_n$ , has

an edge between **every two vertices**.  $n(n-1)/2$  edges

**Empty** graph has **no edge** at all.

**Line**,  $L_n$ :  $n+1$  vertices and  $n$  edges. A **path** of length  $n$ .

**Cycle**, for  $n \geq 3$ ,  $C_n$ :  $n$  vertices and  $n$  edges. A **cycle** of length  $n$ .

**Wheel**, for  $n \geq 3$ ,  $W_n$ :  $C_n$  and  $n$  edges from a **hub** vertex and to  $C_n$ .  
 $n+1$  vertices,  $2n$  edges.

***n-cube***, For  $n \geq 0$ ,  $Q_n$ , with  $2^n$  vertices and .

$$Q_0 = (\{v_0\}, \{\}).$$

Let  $Q_n = (V, E)$  where  $V = \{v_0, v_1, \dots, v_k\}$  where  $k = 2^n - 1$  and

$Q_n' = (V', E')$  where  $V' = \{u_0, u_1, \dots, u_k\}$  and  $V \cap V' = \emptyset$ . Then

$$Q_{n+1} = (V \cup V', E \cup E' \cup \{\{v_0, u_0\}, \{v_1, u_1\}, \dots, \{v_k, u_k\}\})$$

### **Bipartite Graph**

$G = (V, E)$  is bipartite, iff  $V = V_1 \cup V_2$  where  $V_1 \cap V_2 = \emptyset$  and

$$\forall \{v_1, v_2\} \in E, \exists v_1 \in V_1, v_2 \in V_2.$$

Partition  $\{V_1, V_2\}$  is called **bipartition**.

**Complete Bipartite Graph**,  $K_{n+m} = (V_1 \cup V_2, E)$

where  $|V_1| = n$ ,  $|V_2| = m$ , and  $E = \{\{v_1, v_2\} \mid v_1 \in V_1, v_2 \in V_2\}$

**Definition 6** A **subgraph** of graph  $G = (V, E)$  is  $H = (V', E')$  where  $V' \subseteq V$  and  $E' \subseteq E$ .

Let  $G' = (V', E')$  is a subgraph of  $G = (V, E)$ . Then  $G$  is called **spanning subgraph** of  $G$ , if  $V' = V$ .

Let  $G' = (V', E')$  is a subgraph of  $G = (V, E)$ . Then  $G'' = (V'', E'')$  is called the **complement** of  $G'$  with respect to  $G$  where  $E'' = E - E'$ , and  $V'' = \{a \in V \mid \{a, b\} \in E''\}$

The **complement** of ugraph  $G = (V, E)$  with respect to  $K_n$ , denoted  $\overline{G}$ , is the (**absolute**) **complement** of  $G$ .

**Definition 7** The **union** of two graph  $G_1 = (V_1, E_1)$  and  $G_2 = (V_2, E_2)$  is  $G = (V_1 \cup V_2, E_1 \cup E_2)$ .

## 9.3 Representing Graphs and Graph Isomorphism

### Isomorphism of Graphs

*Definition 1* The graph  $G_1 = (V_1, E_1)$  and  $G_2 = (V_2, E_2)$  are **isomorphic**,  
iff  $\exists$  a bijection  $f: V_1 \rightarrow V_2$ .  $\forall u \forall v \in V_1: \{u, v\} \in E_1$  iff  $\{f(u), f(v)\} \in E_2$ .

*n-cube, revisited.*

$$Q_0 = (\{v_0\}, \{\}).$$

Let  $Q_n = (V, E)$ , bijection  $f: V \rightarrow V'$  where  $V \cap V' = \emptyset$ . Then

$$Q_n' = (\{f(v) \in V' \mid v \in V\}, \{\{f(v), f(u)\} \mid \{v, u\} \in E\})$$

We may extend  $f$  domain and codomain of  $f$  from vertices to edges.

$$f(\{v, u\}) = \{f(v), f(u)\}.$$

$$Q_n' = (f(V), f(E))$$

$$Q_{n+1} = (V \cup f(V), E \cup f(E) \cup \{\{v, f(v)\} \mid v \in V\}).$$

$$= (V \cup f(V), E \cup f(E) \cup \{V, f(V)\}).$$



*Example 8, 9, 10**Necessary conditions for graph isomorphisms*

$$|V_1| = |V_2| \text{ and } |E_1| = |E_2|.$$

*The number of vertices with degree  $n$  is same in both graphs.*

*Subgraph  $H$  of one graph is isomorphic to a subgraph of the other*  
*isomorphic simple cycle*

## 9.4 Connectivity

**Definition 1** Let  $G = (V, E)$  be a ugraph. Then a **path** is from  $u$  to  $v$  is defined as a **sequence** of vertices  $(v_0, v_1, \dots, v_n)$  with  $n \geq 0$  such that

$$\{v_i, v_{i+1}\} \in E, 0 \leq \forall i < n, v_0 = u, v_n = v.$$

The **length** of the path is defined to be  $n(\geq 0)$ . (number of edges)

Note that  $u$  is a path of length 0 but not an edge.

A path is a **cycle** if  $v_0 = v_n, n \geq 1$ .

A path **traverses** the vertices along it.

A path is **simple**, if it contains no **vertex** more than once.

A graph,  $C$ , is **simple cycle of length**  $n$ , iff it is isomorphic to  $C_n$   
for some  $n \geq 3$ .

**Definition 3** Two vertices  $u$  and  $v$  are said to be **connected**, if there is a path between them. A graph is said to be **connected**, if there **every** pair of vertices are connected.

We write  $u$  connected  $v$ , if  $u$  and  $v$  are connected.

$connected \subseteq V \times V$                       binary relation on  $V$

**Lemma 0.1** The binary relation **connected** on  $V$  is an **equivalence** relation

**Definition 3.2** The **quivalence class** defined by the equivalence relation **connected** is called **connected component**.

$P = \{[v]_{connected} \mid v \in V\}$                       **partition** of  $V$ .

$|P| =$  number of connected components

**Definition 3.3** Two vertices in a graph are ***k*-connected** if they remain connected in any subgraph by deleting  $k-1$  edges.

A graph is ***k*-connected** if every pair of vertices are *k*-connected.

<i>simple cycle</i>	2-connected
$K_n$	$(k-1)$ -connected

**Theorem 1** If vertex  $v$  is connected to vertex  $u$  in a graph, there is a **simple path** from  $u$  to  $v$ .

**proof** Consider **minimum** length path from  $u$  to  $v$ .

$v_0, v_1, \dots, v_k$  where  $v_0 = u, v_k = v$  with  $k \geq 2$  (if  $k \leq 1$ , simple) is simple.

Assume it is not simple,  $0 \leq \exists i < \exists j < n \ .\exists. v_i = v_j$ . Not minimum path!

**Corollary 1.1** For any path of **length**  $k$  in graph, there is a **simple** path of length at **most**  $k$  with the same endpoints.

**Theorem 1.1** Every graph  $G = (V, E)$  has

**at least  $|V| - |E|$  connected components.**

**proof**  $P(n)$ :  $G = (V, E)$  with  $|E| = n$  has at least  $|V| - n$  C. C.

**base:**  $|E| = 0$ ,  $|V|$  connected components.

**induction:** Consider  $G = (V, E)$  with  $n+1$  edges.

**Remove** an edge  $\{u, v\}$  and call the resulting graph  $G'$ .

$G'$  has at least  $|V| - n$  connected components. (I. H.)

**Add back** the edge  $\{u, v\}$ .

**case 1:**  $u$  and  $v$  are in the **same** C. C. **Same** number of components

$G$  has at least  $|V| - n > |V| - (n+1)$  components.

**case 2:**  $u$  and  $v$  are in the **different** C. C. **One less** component

$G$  has at least  $|V| - n - 1 = |V| - (n+1)$  components.

**Corollary 1.2** The **connected** graph with  $n$  vertices has **at least  $n-1$  edges.**

**proof**  $1 \geq |V| - |E| \quad |E| \geq |V| - 1$

## 10.1 Introduction Trees

**Definition 10.1** A tree is an acyclic connected graph.

A vertex of **degree one** is called leaf.

A **forest** is a set of trees.

**Theorem** Every tree has following properties:

1. Any **connected subgraph** is a tree.
2. There is a **unique (simple) path** between every pair of vertices.
3. **Adding an edge** between two vertices create a **(simple) cycle**.
4. **Removing any edge disconnects** the tree.
5. If tree has at least two vertices, then it has at least two leaves.
6. The number of **vertices** is one larger than that of **edges**.

**proof 1.** Any subgraph of acyclic graph subgraph is also **acyclic**.

2. There is **at least one (simple) path**, since connected and acyclic.

Assume **two different simple paths** from  $u$  to  $v$ .

Assume  $x$  be the first vertex where the path **diverge**,  
 $y$  be the next vertex they **share**.

There is a **cycle** from  $x$  to  $y$  and then  $y$  to  $x$ .

3. Additional edge  $\{u, v\} \cup$  (simple) **path** from  $u$  to  $v =$  (simple) **cycle**

4. Remove  $\{u, v\}$ . **Unique** simple path was  $(u, v)$ .  $\therefore$  Not connected

5. Let  $(v_1, \dots, v_m)$  be the **longest** simple path in the tree. Then  $m \geq 2$ .

$2 < \forall i \leq m, \{v_1, v_i\} \notin E$ , since  $(v_1, \dots, v_i, v_1)$  is a **cycle**.

$\{u, v_1\} \notin E$  where  $u$  is not in the path, since  $(v_1, \dots, v_m)$  is the **longest**.

$\therefore v_1$  is a **leaf**. By **symmetric** argumant  $v_m$  is a **second leaf**.

6. Induction on number of vertices.

$n=1$ , no edge.  $0 + 1 = 1$ . O.K.

Consider  $(n+1)$ -vertex tree  $T$  and let  $v$  be a **leaf** of  $T$ .

Deleting  $v$  and its incident edge gives a smaller **tree**.

$$(|E| - 1) = (|V| - 1) + 1$$

Adding  $v$  and its incident edge gives a larger **tree**.  $\therefore |E| = |V| + 1$ .

**Theorem** *Every connected graph has spanning tree.*

**proof** *Let  $T$  be a connected spanning subgraph of  $G$  with the smallest number of edges.*

*Suppose  $T$  has a cycle  $(v_0, v_1, \dots, v_n, v_0)$ .*

*Suppose we remove the edge  $\{v_n, v_0\}$ .*

*If arbitrary vertices  $x$  and  $y$  has a path not containing the edge  $\{v_n, v_0\}$ ,  
 $x$  and  $y$  has a path containing that path.*

*If arbitrary vertices  $x$  and  $y$  has a path containing the edge  $\{v_n, v_0\}$ ,  
 $x$  and  $y$  has a path containing the path  $(v_0, v_1, \dots, v_n)$ .*

*This is a contradiction that  $T$  has the smallest number of edges and connected.*

*$\therefore T$  is acyclic.*

*$T$  is a tree.*



## 9.8 Graph Coloring

**Definition 1** A graph  $G$  is  $k$ -colorable, if each vertex can be assigned one of  $k$  colors so that **adjacent vertices** get the **different colors**.

*map coloring problem*

The smallest number of colors are called **chromatic number** of  $G$ .

$$\chi(G), \chi(K_n) = n.$$

**Theorem 1** The **chromatic number** of **planar** graph is no greater than 4.

**Theorem 2** A graph with maximum degree at most  $k$  is  $(k+1)$ -colorable.

**proof** Induction on number of **vertices**.  $P(n)$ .

**basis** 1-vertex graph, maximum degree 0 and 1-colorable.  $P(1)$  is true.

**induction** Let  $G$  be  $(n+1)$ -**vertex** graph with maximum degree at most  $k$

**Remove** a vertex  $v$  and its incident edges.

$G'$  has  $n$  vertices and max. deg. at most  $k$ .  $\therefore G'$  is  $(k+1)$ -colorable(IH).

$v$  has at most  $k$  adjacent vertices.  $\therefore G$  is  $(k+1)$ -colorable( $(k+1) - k = 1$ ).

## Bipartite graph

Every *bipartite* graph is 2-colorable.

Every *path*, *tree*, and *even length cycles* are bipartite.

**Theorem** A graph is *bipartite* if and only if  
it contains *no odd length cycle*.

## ***9.7 Planar Graph***

***Definition 1*** A graph is ***planar***, if it can be drawn in the ***plane*** without edge crossing.

*$K_4$  is planar and  $Q_3$  is planar, but  $K_{3,3}$  is not planar.*

***Region: planar graph divides regions***

***Tree has one region***

***Removing an edge on the cycle merges two regions into one.***

**Theorem 1** Let  $G$  be a **connected planar graph** with  $v$  **vertices** and  $e$  **edges**. Let  $r$  be the number of **regions** in planar representation of  $G$ . Then

$$r = e - v + 2.$$

**proof** Induction on number of edges,  $P(e)$ .

**basis**  $e=0, v=1, r=1. \therefore 1 = 0 - 1 + 2 = 1. O.K.$

**induction** Consider a connected planar graph  $G$  with  $e+1$  edges.

1. If  $G$  is **acyclic**.  $G$  is **tree**.  $\therefore r = 1, e - v + 2 = -1 + 2 = 1. \therefore O.K.$

2. If  $G$  is not acyclic,  $G$  has at least one **cycle**,  $C$ .

Consider  $\{u, v\}$  in  $C$  and a **spanning tree**,  $T$ .  $\exists. \{u, v\}$  is **not** in  $T$ .

There exists such an edge  $\{u, v\}$  because  $T$  is acyclic.

**Remove the edge**  $\{u, v\}$  from  $G$ , it is called as  $G'$ .

$G'$  has **one less regions**, since removing an edge  $\{u, v\}$  on the cycle  $C$ .

$G'$  is connected planar graph and has  $e$  edges.

$\therefore r = e - v + 2$  in  $G'$           induction hypothesis

In  $G$ ,  $r+1$  regions,  $e+1$  edges, and  $v$  vertices.  $\therefore (r + 1) = (e + 1) - v + 2.$

**Corollary 1** *If connected planar graph  $G$  with  $e$  edges and  $v$  vertices where  $v \geq 3$ . Then  $e \leq 3v - 6$ .*

**proof** Consider degree of region                      number of boundary edges

Sum of the degree of regions is  $2e$ .

Minimum number of degree of each region  $r$  is  $3r$ (triangle)

$$\therefore 2e \geq 3r = 3(e - v + 2) \qquad \therefore e \leq 3v - 6.$$

**Corollary 2** *If  $G$  is a connected planar graph, then  $G$  has a vertex not exceeding five.*

**proof** *If  $G$  has one or two vertices, the result is true.*

*If  $G$  has more than three vertices,  $e \leq 3v - 6$ . So  $2e \leq 6v - 12$ .*

*If every vertex has more than or equal 6,  $2e \geq 6v$ (Handshaking Theorem)*

*But it contradict with  $2e \leq 6v - 12$ .*

**Example 5**  *$K_5$  is not planar.*

$$v = 5, e = 10. 3v - 6 = 9.$$

## ***9.5 Euler and Hamilton Path***

***Definition 1*** Let  $G$  be a graph.

An ***Euler path*** is a path containing ***every edge*** of  $G$ .

An ***Euler circuit*** is a circuit containing ***every edge*** of  $G$ .

***Theorem 1*** A connected graph has ***Euler circuit*** iff each vertex has ***even degree***.

***proof*** ( $\rightarrow$ ) The circuit contributes 2 to the degree of each node

( $\leftarrow$ ) ***Algorithm 1***

***Algorithm 1*** Constructing Euler Circuit

Begins with ***arbitrary node***.

Construct a ***cycle*** from the vertex to the vertex.

Repeat for each ***remaining subgraph***,

***inserting the new cycle into the original one.***

**Theorem 2** A connected graph has **Euler path** iff it has exactly **two** vertices of **odd degree**.

*proof* One is the start, the other is the end.

**Definition 2** Let  $G$  be a graph.

An **Hamilton path** is a path traverse each vertex in  $G$  exactly **once**.

An **Hamilton circuit** is a circuit traverse each vertex in  $G$  exactly **once**.

$K_n$  has a Hamilton circuit circuit ( $n \geq 3$ ).

If a graph has a vertex of **degree one**, there is **no Hamilton circuit**.

Exactly **two edges** incident to a vertex are in Hamilton circuit.

Traveling Salesman

NP complete

## 9.6 Shortest-Path Problem

Let  $G = (V, E)$  be a graph, and  $f: E \rightarrow \mathbf{R}^+$  be a **cost** of the edges.

*Edge weighted graph*

**Iterative and recursive extended definition of weight of path.**

Let  $(v_0, v_1, \dots, v_n) \in E^n (= V^{n+1})$  be a path of length  $n \geq 0$ . Then we define

$$\text{if } n = 0, f^*(v_0, v_0) = 0.$$

$$\text{if } n \geq 1, f^*(v_0, v_n) = \sum_{j=0}^{n-1} f(v_j, v_{j+1})$$

$$f^*(u, u) = 0.$$

$$f^*(u, v) = f(u, x) + f^*(x, v) \quad \text{or} \quad f^*(u, x) + f(x, v).$$

We may use  $f$  instead of  $f^*$ , since  $f \subseteq f^*$ .

$f: E^* \rightarrow \mathbf{R}^+$       **extend** the domain of  $f$  from  $E$  to  $E^*$ .



### Shortest path problem

**Definition**  $\min_f(u, v) = (v_0, v_1, \dots, v_n) \ n \geq 0 \ .\exists. \ m \geq 0$

$$f(v_0, v_1, \dots, v_n) \leq f(u_0, u_1, \dots, u_m) \ .\exists. \ \forall (u_0, u_1, \dots, u_m) \in V^*$$

where  $v_0 = u = u_0$  and  $v_n = v = u_m$ .

$u, v \in V$ , find a shortest path such that  $f(u, v)$  is minimum.

**Definition** Let  $W \subseteq V$ ,  $u, v \in V$ .  $L_f^W(u, v) = (u, w_1, \dots, w_n, v) \ .\exists.$

$$f(u, w_1, \dots, w_n, v) \leq f(u, x_1, \dots, x_m, v) \ .\exists. \ \forall (u, x_1, \dots, x_m, v) \in V^*$$

$n, m \geq 0, 1 \leq \forall i \leq n, w_i \in W, 1 \leq \forall i \leq m, x_i \in W.$

**Theorem** Let  $W \subseteq V$ ,  $u \in V$ , and  $x \notin W \ .\exists. \ L_f^W(u, x)$  is the **minimum**.

$$\forall y \notin W, L_f^{W \cup \{x\}}(u, y) = \min(L_f^W(u, y), L_f^W(u, x) + f(x, y)).$$

*procedure* Shortest path( $G = (V, E), f: E \rightarrow \mathbf{R}^+, u, v \in V$ )  
*for*  $w \in V$  *do*  $L(w) := \text{Infinite}$  *od*;  $L(u), W := 0, \emptyset$ ;

*Initialization*  $\forall y \in V, L(y) = L_f^{\{\}}(u, y).$

*do*  $v \notin W \rightarrow$

*Loop invariance*  $\forall y \in V, L(y) = L_f^W(u, y).$

$x := x \notin W \wedge \min(L(x)); W := W \cup \{x\};$

*Loop invariance, invalidated*  $\forall y \in V, L(y) = L_f^{W-\{x\}}(u, y).$

*do*  $y \notin W \wedge (x, y) \in E \rightarrow L(y) := \min(L(y), L(x) + f(x, y))$  *od*  
*od*

*After the loop termination*  $\forall y \in V, L(y) = L_f^W(u, y) \wedge v \in W.$

We should also prove that  $L_f^W(u, v) = \min_f(u, v)$ , if  $v \in W$ .

But it is trivial, since we add the shortest path vertex  $x$  to  $W$ .