

# 5 Counting

## 5.1 The Basics of Counting

### Product and Sum Rules

Suppose two task,

*m* number of ways to do task 1

*n* number of ways to do task 2

Do either task 1 or task 2, but not both:

*m+n* ways

$|A \cup B| = |A| + |B|$ , if  $A \cap B = \emptyset$ .

Do both task 1 and task 2:

*mn* ways

$|A \times B| = |A| \cdot |B|$ .

### Principle of Inclusion-Exclusion

$|A \cup B| = |A| + |B| - |A \cap B|$ .

## 5.2 The Pigeonhole Principle

### **Theorem 1** *The pigeonhole principle*

*If  $k + 1$  or more objects are placed into  $k$  box,  
there is at least one or more box containing two or more objects.*

**Corollary 1** *If  $f: A \rightarrow B$  and  $|A| \geq |B| + 1$ , then  $f$  is not one-to-one.*

### **Theorem 2** *The generalized pigeonhole principle*

*If  $N$  objects are placed into  $k$  box,  
there is at least one or more box containing  $\lceil N/k \rceil$  objects.*

**proof by contradiction**, no box contains  $\lceil N/k \rceil$  objects.

$$k(\lceil N/k \rceil - 1) < k((N/k + 1) - 1) = N$$

$N = 59$  students,  $k = 12$  months,  
 $\lceil N/k \rceil = \lceil 4.91\dots \rceil = 5$  students/month

### ***5.3 Permutations and Combinations***

$$1 \leq r \leq n, P(n, r) = n(n-1) \dots (n-r+1)$$

$$0 \leq r \leq n, P(n, r) = n! / (n-r)!$$

$$0 \leq r \leq n, C(n, r) = P(n, r) / P(r, r) = n! / r!(n-r)!$$

$$C(n, r) = C(n, n-r)$$

### ***5.4 Binomial Coefficient***

$$\begin{aligned} (x+y)^n &= C(n, 0)x^n + C(n, 1)x^{n-1}y + \dots + C(n, n-1)x^1y^{n-1} + C(n, n)y^n. \\ &= \sum_{j=0}^n C(n, j)x^{n-j}y^j. \end{aligned}$$

### ***5.5 Generalized Permutation and Combinations***

## 5.6 Generating Permutation and Combinations

Let  $a_1a_2\dots a_n$  and  $b_1b_2\dots b_n$  be permutation of  $\{1, 2, \dots, n\}$ .

We say  $a_1a_2\dots a_n < b_1b_2\dots b_n$ ,

if  $1 \leq \exists k \leq n . \exists . a_1 = b_1, a_2 = b_2, \dots, a_{k-1} = b_{k-1}, a_k < b_k$ .

permutation  $a_1a_2\dots a_n \in \{1, \dots, n\}^n$ .

$<$  is a total order

*Next permutation of  $a_1 \dots a_n$ ?*

*Find largest  $j$  . $\exists$ .  $a_j < a_{j+1}$ .*

*Find smallest interger  $a_k$  in  $a_{j+1}, \dots, a_n$  but  $a_k > a_j$ .*

*Interchange  $a_k$  and  $a_j$ .*

*Increasing order from  $j+1$ -th to  $n$ -th position.*

***procedure*** *nextPermutation*( $a_1 \dots a_n \in \{1, \dots, n\}^n \neq n (n-1) \dots 2 1$ )

***$j := n-1$ ; do***  $a_j > a_{j+1} \rightarrow j := j - 1$  ***od***

***$k := n$ ; do***  $a_j > a_k \rightarrow k := k - 1$  ***od***

***$a_k, a_j := a_j, a_k$ ;***

***$r, s := n, j+1$ ; do***  $r > s \rightarrow a_r, a_s := a_s, a_r$ ;  ***$r, s := r - 1, s+1$  od***

**procedure** *next bit string*( $b_1 \dots b_n \in \{0, 1\}^n \neq 111 \dots 11$ )  
 $i := n$ ; **do**  $b_i = 1 \rightarrow b_i := 0$ ;  $i := i - 1$  **od**;  $b_i := 1$ .

Next  $r$ -combination of  $\{a_1, a_2, \dots, a_n\}$  with  $a_1 < a_2 < \dots < a_n$ ?

Find  $a_i$   $\exists$ .  $a_i \neq n - r + i$ .

replace  $a_i$  with  $a_i + 1$ .

repalace  $a_j$ 's with  $a_i + j - i$ .

**procedure** *next r-combination*( $\{a_1, a_2, \dots, a_n\} \subseteq \{1, 2, \dots, n\}$   
 $\neq \{n-r+1, n-r+2, \dots, n\}$  and  $a_1 < a_2 < \dots < a_n$ )  
 $i := r$ ; **do**  $a_i = n - r + i \rightarrow i := i - 1$  **od**;  $a_i := a_i + 1$ ;  
**for**  $j := i + 1$  **to**  $r$  **do**  $a_j := a_i + j - i$  **od**