

# 3 The Fundamentals: Algorithms, the Integers, and Matrices

## 3.1 Algorithms

**Definition 1** An **algorithm** is a finite set of instructions for performing a computation or solving a problem.

### Dijkstra's mini language

$$S ::= x_1, \dots, x_n := E_1, \dots, E_n \mid S; S \mid \mathit{skip} \mid \mathit{abort}$$

$$\mid \mathit{if} B_1 \rightarrow SL_1 \mid \dots \mid B_n \rightarrow SL_n \mathit{fi}$$

$$\mid \mathit{do} B_1 \rightarrow SL_1 \mid \dots \mid B_n \rightarrow SL_n \mathit{od}$$

$\mathit{if} x > y \rightarrow m := x$ $\mid x \leq y \rightarrow m := y$ $\mathit{fi}$	$\mathit{if} x \geq y \rightarrow m := x$ $\mid x < y \rightarrow m := y$ $\mathit{fi}$	$\mathit{if} x \geq y \rightarrow m := x$ $\mid x \leq y \rightarrow m := y$ $\mathit{fi}$
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$$(m = x \vee m = y) \wedge m \geq x \wedge m \geq y.$$

*Algorithm 1 Finding the maximal element in a finite sequence*

*procedure* **max**( $a_1, a_2, \dots, a_n$ : integers)

$max := a_1$ ;

**do**  $i \leq n \rightarrow$  **if**  $max \leq a_n \rightarrow max = a_n$ ;  $i := i+1$

    |  $max \geq a_n \rightarrow i := i+1$

**fi**

**od**

**Algorithm 2** *The linear search algorithm*

procedure **linear search**( $x$ : integer;  $a_1, a_2, \dots, a_n$ : distinct integers)

$i := 1$ ;

**do** ( $i \leq n \wedge x \neq a_i$ )  $\rightarrow i := i+1$  **od**

**if**  $i \leq n \rightarrow location := i$

|  $i = n+1 \rightarrow location = 0$

**fi**

$i := 1$ ;  $location := 0$ ;

**do** ( $(i \leq n) \wedge (location = 0)$ )  $\rightarrow$  **if**  $x = a_i \rightarrow location := i$ ;

|  $x \neq a_i \rightarrow i := i+1$

**fi**

**od**

**Algorithm 3** The binary search algorithm

procedure **binary search**( $x$ : integer;  $a_1, a_2, \dots, a_n$ : increasing integers)

$i, j := 1, n$ ;  $location := 0$ ;

**do**  $((i \leq j) \wedge (location = 0)) \rightarrow m := \lfloor (i+j)/2 \rfloor$ ;

**if**  $x > a_m \rightarrow i := m+1$

|  $x = a_m \rightarrow location := m$ ;

|  $x < a_m \rightarrow j := m-1$

**fi**

**od**

**do**  $(i \leq j) \rightarrow m := \lfloor (i+j)/2 \rfloor$ ;

**if**  $x > a_m \rightarrow i := m+1$

|  $x = a_m \rightarrow location := m$ ;  $i, j := m, m-1$ ;

|  $x < a_m \rightarrow j := m-1$

*fi*

*od*

*procedure bubble sort*( $a_1, a_2, \dots, a_n$ : *distinct integers with*  $n \geq 2$ )

$i := 1$ ;

*do* ( $i \leq n-1$ )  $\rightarrow j := 1$ ;

*do* ( $j \leq n-i$ )  $\rightarrow$  *if*  $a_j \geq a_{j+1} \rightarrow a_j, a_{j+1} := a_{j+1}, a_j; j := j+1$

$| a_j \leq a_{j+1} \rightarrow j := j+1$

*fi*;

*od*;

$i := i + 1$

*od*

*procedure insertion sort*( $a_1, a_2, \dots, a_n$ : distinct integers with  $n \geq 2$ )

$j := 2$ ;

**do** ( $j \leq n$ )  $\rightarrow i := 1$ ;

**do** ( $a_j > a_i$ )  $\rightarrow i := i + 1$ ;

$m := a_j$ ;

$k := 0$ ; **do** ( $k \leq j - i - 1$ )  $\rightarrow a_{j-k} := a_{j-k-1}$ ; **od**;

$a_i := m$

**od**;

$i := i + 1$

**od**

**Greedy algorithm****Optimization problem**

*to find a solution to the given problem that*

*either **minimizes** or **maximizes** the value of some parameters*

**(global) optimal**

*to select the best choice considering all sequences of steps*

**local optimal (greedy algorithm)**

*to select the best choice at each step*

**Algorithm 6 Greedy Change-Making Algorithm**

*procedure change( $n_1, n_2, \dots, n_r$ : number of coins where*

*$c_1 > c_2 > \dots > c_r$  is the value for each coin $_i$ ;  $n$ : positive integer)*

*$n_1, n_2, \dots, n_r := 0, 0, \dots, 0$ ;  $i := 1$ ;*

***do** ( $i \leq r$ ) **→ do** ( $n \geq c_i$ ) **→**  $n_i := n_i + 1$ ;  $n := n - c_i$  **od**;  $i := i + 1$  **od***

## **The Halting Problem**

### **Unsolvable problems**

#### **Halting problem**

*where program will be halt or not(infinite loop)*

*Alan Turing, 1936*

*No algorithm that computes it!*

#### **Three cases**

*i) (totally) solvable(computable; recursive)*

*always terminate*

*algorithms*

*ii) partially solvable(partially computable; recursively enumerable)*

*algorithm(program) exists*

*but may halts or loops forever*

*iii) unsolvable(uncomputable; Not recursively enumerable)*

*No algorithm(program) exists*



***proof** Assume a procedure  $H(P: \text{program}, I: \text{input data})$  exists where*

*If  $P$  runs with  $I$  and halts  $\rightarrow$  print “halt” as output.*

*|  $P$  with  $I$  loops forever  $\rightarrow$  print “loops forever” as output.*

*Program also can be a input data(compiler)*

*Consider procedure  $K(P)$  as follows*

*procedure  $K(P: \text{program})$*

*if  $H(P, P)$  prints “halts”  $\rightarrow$  loops forever*

*|  $H(P, P)$  prints “loops forever”  $\rightarrow$  halts*

*fi*

*Now consider  $K(K)$*

*if  $H(K, K)$  print “loops forever”  $\rightarrow$   $K(K)$  halts*

*|  $H(K, K)$  print “halts”  $\rightarrow$   $K(K)$  loops forever*

*Contradiction*

*No algorithm for halting program!*

## 3.2 The Growth of Functions

### Big-O Notation

**Definition 1** Let  $f: \mathbf{N} \rightarrow \mathbf{R}$  or  $\mathbf{R} \rightarrow \mathbf{R}$ .

We say  $f(x)$  is  $O(g(x))$ , if  $\exists c, k \in \mathbf{R} .\exists. |f(x)| \leq c|g(x)| \forall x > k$ .

We also write  $f(x) = O(g(x))$  or even  $f(x) \in O(g(x))$ .

The constant  $c$  and  $k$  are called the **witnesses** of ...  $f(x)$  is  $O(g(x))$ .

Assume  $c$  and  $k$  are witnesses,  $\forall c', k' .\exists. c < c', x < x'$ ,

$c'$  and  $k'$  are also **witnesses**

$g(x)$  is **faster or equal(not slower)** growing than  $f(x)$

when  $x$  becomes **large enough**

and **ignoring** multiplying some **constant**  $c$ .

*Example 1, 2, 3, 4*

**Theorem 1** Let  $f(x) = a_n x^n + a_{n-1} x^{n-1} + \dots + a_1 x + a_0$ . Then  $f(x)$  is  $O(x^n)$ .  
*proof easy with witness  $k=1$  and  $c = \sum |a_i|$ .*

*Example 6*  $n!$

$n! \leq n^n$ .  $n!$  is  $O(n^n)$  with witness  $k=1$  and  $c=1$ .

$\log n! \leq \log n^n = n \log n$

$1, \log n, n, n \log n, n^2, 2^n, n!$

**Definition** Let  $g: \mathbf{N}$  or  $\mathbf{R} \rightarrow \mathbf{R}$ .

$O(g) = \{f: \mathbf{N}$  or  $\mathbf{R} \rightarrow \mathbf{R} \mid \exists c, k > 0 \forall x > k: |f(x)| \leq c|g(x)|\}$

we may write  $f \in O(g)$ .

$O(1) \subset O(\log n) \subset O(n) \subset O(n \log n) \subset O(n^2) \subset O(n^3) \subset \dots \subset O(2^n) \subset O(n!)$

**Theorem 2, 3** Let  $f_1 \in O(g_1)$ ,  $f_2(x) \in O(g_2)$ . Then

$$f_1 + f_2 \in O(g_1 + g_2) = O(\max(|g_1|, |g_2|)) \text{ and}$$

$$f_1 f_2 \in O(g_1 g_2).$$

**Colollary 1** Let  $f_1, f_2 \in O(g)$ . Then  $f_1 + f_2 \in O(g)$ .

### Big-Omega and Big-Theta Notation

**Definition 2**  $\Omega(g) = \{f: \mathbf{N} \text{ or } \mathbf{R} \rightarrow \mathbf{R} \mid \exists c, k > 0 \forall x > k: |f(x)| \geq c|g(x)|\}$

**Definition 3**

$$\Theta(g) = \{f: \mathbf{N} \rightarrow \mathbf{R} \mid \exists c_1, c_2, k > 0, \forall x > k: c_1|g(x)| \leq |f(x)| \leq c_2|g(x)|\}$$

$f \in \Theta(g)$ , iff  $f \in O(g) \wedge f \in \Omega(g)$ .

We say  $f$  is **order** of  $g$ , if  $f \in \Theta(g)$ .

**Theorem 4** Let  $f(x) = a_n x^n + a_{n-1} x^{n-1} + \dots + a_1 x + a_0$  with  $a_n \neq 0$ . Then

$$f(x) \in \Theta(x^n).$$

### 3.3 Complexity of Algorithms

#### *Computational complexity*

*time complexity*

*space complexity*

*Worst-case analysis*

*Average-case analysis*

$\Theta(1)$	<i>constant complexity</i>
$\Theta(\log n)$	<i>logarithmic complexity</i>
$\Theta(n)$	<i>linear complexity</i>
$\Theta(n^k)$	<i>polynomial complexity</i>
$\Theta(k^n)$	<i>exponential complexity</i>
$\Theta(n!)$	<i>factorial complexity</i>

**(totally) solvable**

***tractable***

*polynomial*

***intractable***

*exponential*

**class P**

*polynomial, tractable*

**class NP ( $P \subseteq NP$ )**

*Nondeterministic polynomial*

*lower bound?*

**NP-complete problems**

*subset of class NP*

*If any of these problems can be solved in polynomial time,*

***all the problems in class in NP also in class P ( $P = NP$ ).***

*exponential(upper bound)*

*tractable or intractable*

### 3.4 Integer and Division

**Definition 1** Let  $a, b \in \mathbf{Z} \wedge a \neq 0$ . We say  $a$  **divides**  $b$ , if  $\exists c \in \mathbf{Z} . \exists. b = ac$ . We say  $a$  is a **factor** of  $b$  and  $b$  is a **multiple** of  $a$ .

$a \mid b$  denotes  $a$  divides  $b$ , and  $a \nmid b$  to denote  $a$  does not divide  $b$ .

**Theorem 1**  $\forall a, b, c \in \mathbf{Z}$ .

i)  $a \mid b \wedge a \mid c \Rightarrow a \mid (b+c)$ .

ii)  $a \mid b \Rightarrow a \mid bc$ .

iii)  $a \mid b \wedge b \mid c \Rightarrow a \mid c$ .

**Corollary 1**  $\forall a, b, c \in \mathbf{Z}$ .

$$a \mid b \wedge a \mid c \Rightarrow a \mid (mb+nc) \quad \forall m, n \in \mathbf{Z}.$$

**Theorem 2 The Division Algorithm**

$\forall a \in \mathbf{Z}, \forall d \in \mathbf{Z}^+ \exists_1 q, \exists_1 r \in \mathbf{Z}: 0 \leq r < d \ .\exists. a = dq + r.$

**Definition 2**  $d$  is called the **divisor**,  $a$  is called **divided**,  
 $q$  is called the **quotient**, and  $r$  is called the **remainder**.  
 $q = a \text{ div } d, r = a \text{ mod } d.$

**Modular Arithmetic**

**Definition 3** Let  $a, b \in \mathbf{Z}, m \in \mathbf{Z}^+$ . Then  $a$  is **congruent** to  $b$  modulo  $m$ ,  
 written  $a \equiv b \pmod{m}$  or  $b \in [a]_{\text{mod } m}$ , if  $m \mid (a - b)$ .

**Theorem 3**  $a \equiv b \pmod{m}$ , iff  $a \text{ mod } m = b \text{ mod } m.$   
 or  $(a - b) \text{ mod } m = 0.$

**Theorem 4**  $a \equiv b \pmod{m}$ , iff  $\exists k \in \mathbf{Z}: a = b + km.$

**Theorem 5** If  $a \equiv b \pmod{m} \wedge c \equiv d \pmod{m}$ , then  
 $a + c \equiv b + d \pmod{m}$        $ac \equiv bd \pmod{m}.$



### 3.5 Primes and Greatest Common Divisors

**Definition**  $p \in \mathbf{Z}^+$  is **prime**,  $\Leftrightarrow p > 1 \wedge \neg \exists a \in \mathbf{Z}^+ : (1 < a < p \wedge a \mid p)$ .  
 Otherwise **composite**.

**Theorem 1 The Fundamental Theorem of Arithmetic**

Every positive integer has a **unique** representation  
 as the product of nondecreasing series of **zero or more primes**.

**Theorem 2** If  $n$  is composite integer, then  $n$  has a prime divisor less than or equal to  $\text{SQRT}(n)$ .

**Theorem 3** There are **infinitely** many primes.

**proof** proof by contradiction

Assume there are only finitelt many primes,  $p_1, p_2, \dots, p_n$ .

Let  $Q = p_1 p_2 \dots p_n + 1$ .

*Q is prime or product of two or more primes.*

*If  $p_j \mid Q$ ,  $p_j \mid Q - p_1 p_2 \dots p_n = p_j \mid 1$ .*

*$\therefore \neg \exists j: 1 < j < n, p_j \mid Q$ .*

*$\therefore$  There exist other prime not in the list  $p_1, p_2, \dots, p_n$  or  $Q$  is a prime.*

*$\therefore$  There are infinitely many primes.*

## **Conjectures and Open Problems about Primes**

### **Goldbach's conjecture**

*Every even integer  $n$ ,  $n > 2$ , is the sum of two prime numbers.*

$$2 \cdot 10^{17}.$$

*The Twin Prime conjecture*

*primes that **differs two***

*$16,8699,8733,9975 \cdot 2^{17,1960} \pm 1$  are **primes** with 5,1779 digits.*

## Greatest common divisors and Least common multiples

**Definition 2** Let  $a, b \in \mathbf{Z}$  and not both zero.

$$d = \gcd(a, b) = \max(d: d \mid a \wedge d \mid b) \Leftrightarrow, \\ d \mid a \wedge d \mid b \wedge \forall e \in \mathbf{Z}, (e \mid a \wedge e \mid b) \rightarrow d \geq e.$$

**Definition 3** The integers  $a$  and  $b$  are **relatively prime (coprime)** if  $\gcd(a, b) = 1$ .

**Definition 4** The integers  $a_1, a_2, \dots, a_n$  are **pairwise relatively prime**, if  $\gcd(a_i, a_j) = 1$  whenever  $1 \leq i < j \leq n$ .

**Definition 5** Let  $a, b \in \mathbf{Z}$  and not both zero.

$$d = \text{lcm}(a, b) = \min(m: a \mid m \wedge b \mid m) \Leftrightarrow, \\ a \mid m \wedge b \mid m \wedge \forall n \in \mathbf{Z}, (n \mid a \wedge n \mid b) \rightarrow m \leq n.$$

Let  $a = p_1^{a_1} p_2^{a_2} \dots p_n^{a_n}$  and  $b = p_1^{b_1} p_2^{b_2} \dots p_n^{b_n}$ .

$$\gcd(a, b) = p_1^{\min(a_1, b_1)} p_2^{\min(a_2, b_2)} \dots p_n^{\min(a_n, b_n)}.$$

$$\text{lcm}(a, b) = p_1^{\max(a_1, b_1)} p_2^{\max(a_2, b_2)} \dots p_n^{\max(a_n, b_n)}.$$

**Theorem 5** Let  $a$  and  $b$  be positive integer.

$$ab = \gcd(a, b) \text{lcm}(a, b)$$

### 3.6 Integer and Algorithms

**Theorem 1** Let  $b \in \mathbf{Z}^+$ ,  $b > 1$ . Then  $\forall n \in \mathbf{Z}^+$ ,

$$n = a_k b^k + a_{k-1} b^{k-1} + \dots + a_1 b + a_0.$$

$$k \geq 0, 0 \leq a_0, a_1, \dots, a_k < b, a_k \neq 0.$$

*unique representation*

*base expansion of  $n$*

written  $n = (a_k a_{k-1} \dots a_1 a_0)_b$ .

**Lemma 1** Let  $a = bq + r$ ,  $a, b, q, r \in \mathbf{Z}$ . Then  $\gcd(a, b) = \gcd(b, r)$

**proof**  $\forall d, d \mid a \wedge d \mid b$ , then  $d \mid a - bq = r$ . (Corollary 1)

$\forall d, d \mid b \wedge d \mid r$ , then  $d \mid bq + r = a$ .

**Algorithm 6 Euclid algorithm****procedure** gcd( $a, b$ : positive integer)**do**  $b \neq 0 \rightarrow r := a \bmod b; a := b; b := r$  **od**;**return**  $a$ **procedure** gcd( $a, b$ : positive integer) *Dijkstra's algorithm***do**  $a > b \rightarrow a := a - b$ |  $a < b \rightarrow b := b - a$ **od**;**return**  $a$ **3.7 Application of Number Theory****3.8 Matrices**