

10 Graphs

10.1 Graphs and Graph Models

Def. 1 A(n **undirected**) graph (or **ugraph**) $G = (V, E)$ consists of V , a nonempty set of **vertices** (or **nodes**) and E , a set of **edges**.

Each edge has either **one**? or two **vertices** associated with it, called **endpoints**. An edge is said to **connect its endpoints**.

infinite graph infinite vertices and/or edges

finite graph finite vertices and edges

But finite graph is considered in this text.

Let $u, v \in V$. Then we **write** $\{u, v\} \in E$, **or** $\{u\} \in E$.

The edge $\{u\}$ is called the self-loop edge connectint the vertex u to u .

See Fig. 3 of p 618.

Def. 2 A **directed graph**(**digraph**, **graph**) on the set of vertices V is,

$G = (V, E)$ where $E \subseteq V \times V$.

A pair $(u, v) \in E$ is called **edge**(**arc**) and said to

starts at the **vertex**(**node**) u and **ends** at the **vertex** v .

$(u, v) \neq (v, u)$ and $(u, u) \in E$ **self-loop**

simple graph **single edge** for each two vertices

multigraph **multiple edges** for the same (two) vertices

m different edges, $\{u, v\}$ connecting the vertices u and v .

We say that the edge $\{u, v\}$ has multiplicity of n .

$G = (V, E, f)$ **Edge weighted** (**simple**) **graph simulates multigraph**

$f: E \rightarrow \mathbf{N}$ or $V \times V \rightarrow \mathbf{N}$

Edge $\{u, v\}$ of **multiplicity** m , if $f(\{u, v\}) = m \in \mathbf{N}$.

Edges of digraph is *a binary relation on V* ($E \subseteq V \times V$).

Reflexivity All vertices have a self-loop

Irreflexivity No vertices have a self-loop

Symmetry All edges are bidirectional

Antisymmetry All edges are unidirectional, self-loop is allowed

Asymmetry All edges are unidirectional, self-loop is **not** allowed

Transitivity All paths should have an (**extra**) edge

Digraph simulates (undirected) graph and pseudograph

$\{u, v\} \in E$ in ugraph $(u, v), (v, u) \in E$ in digraph *symmetricity*

$\{u\} \in E$ in pseudograph $(u, u) \in E$ in digraph *reflexivity*

Edge weighted graph simulates multigraph

Graph Models

Read the text book from p620 to p625.

10.2 Graph Terminology and Special Types of Graphs

Def. 1 Adjacency Let $G = (V, E)$ be an **ugraph**(undirected graph).

If edge $\{u, v\} \in E$, then

Vertices u, v are **adjacent(neighbors, connected)**

Edge $\{u, v\}$ **connects(is incident with)** vertices u and v .

Vertices u and v are **endopints** of the edge $\{u, v\}$.

Def. 2 Neighborhood: Let $G = (V, E)$ be an **graph** and $v \in V$. Then

$N(v) = \{u \in V \mid \{u, v\} \in E\}$, called **neighborhood** of v
the set of **all** neighbors of v .

Let $A \subseteq V$. Then we **define** $N(A) = \cup_{v \in A} N(v)$.

Def. 3 Degree of a vertex: Let $G = (V, E)$ be an **ugraph** and $v \in V$. Then $\deg(v) \in \mathbf{N}$. is the **number edges incident** with it
(except a **self-loop** counts twice)

$$\deg(v) = |\{\{v, u\} \in E\}| + 2 \cdot |\{\{v\} \in E\}|$$

If $\deg(v) = 0$, v is called **isolated**.

If $\deg(v) = 1$, v is called **pendant**. (목걸이 , 팔찌)

self-loop

Def. 3' Degree of a vertex: Let $G = (V, E)$ be a **digraph**(directed graph) and $v \in V$. Then

$\deg(v) \in \mathbf{N}$ is the **number edges incident** with it

$$\deg(v) = |\{(u, v) \in E\}| + |\{(v, u) \in E\}|$$

indegree

outdegree

Thm. 1 The Hand Shaking Theorem

Let $G = (V, E)$ be an **ugraph** with $|E| = m$. Then $2m = \sum_{v \in V} \deg(v)$.

proof Every edge contributes two to the sum of the degrees.

Thm 2 Let $G = (V, E)$ be an **ugraph**. Then G has an **even** number of **vertices of odd degree**.

proof Let V_e be the set of vertices of **even** degree and V_o be the set of vertices of **odd** degree.

$$2m = \sum_{v \in V} \deg(v) = \sum_{v \in V_e} \deg(v) + \sum_{v \in V_o} \deg(v)$$

$|\sum_{v \in V_o} \deg(v)|$ is even. $\therefore |V_o|$ is even.

Def. 4 Adjacency Let $G = (V, E)$ be a **digraph**. If edge $(u, v) \in E$, then u is said to be **adjacent to** v and v is said to be **adjacent from** u .

The vertex u is called the **initial** vertex of (u, v) and the vertex v is called the **terminal** or **end** vertex of (u, v) .

Def. 5 Let $G = (V, E)$ be a **digraph** and $v \in V$.

Out-degree of v , denoted as, $\deg^-(v)$, is
the number of edges with v as their **termina** vertices.

$$\deg^-(v) = |\{(u, v) \in E\}|$$

In-degree of v , denoted as, $\deg^+(v)$, is
the number of edges with v as their **initial** vertices.

$$\deg^+(v) = |\{(v, u) \in E\}|$$

Thm. 3 Let $G = (V, E)$ be a **digraph**. Then

$$\sum_{v \in V} \deg^-(v) = \sum_{v \in V} \deg^+(v) = |E|$$

Some Special Simple Graphs

Ex. 5 Complete graph, for $n \geq 1$, n vertices $K_n = (V_n, E_n)$, has one edge between **each pair of distinct** vertices.

$K_n = (V_n, E_n)$ where $V_n = \mathbf{N}_{1,n}$ and

$$E_n = \{(i, j) \in (\mathbf{N} \times \mathbf{N}) \mid 1 \leq i < j \leq n\}$$

K_n has $n(n-1)/2$ edges

Ex. 6 Cycle, for $n \geq 3$, $C_n = (V_n, E_n)$ n vertices and n edges.

$$E_n = \{(i, i+1) \in (\mathbf{N} \times \mathbf{N})\} \cup \{(1, n)\}$$

Ex. 7 Wheel, for $n \geq 3$, $W_n = (V_n, E_n)$

C_n (a **cycle** of n vertices) and

edges from a **hub** vertex (v_0) and to C_n .

$\therefore n+1$ vertices, $2n$ edges.

$$V_n = \mathbf{N}_{0,n} \text{ and } E_n = \{(i, j) \in (\mathbf{N} \times \mathbf{N}) \mid i \neq j\} \cup \{(0, j) \in (\mathbf{N} \times \mathbf{N})\}$$

Ex. 8 n-cube, For $n \geq 0$, $Q_n = (V_n, E_n)$ with $|V_n| = 2^n$.

$$V_n = \{b_n^i \in \{0, 1\}^n \mid 0 \leq i \leq 2^n - 1\} \quad b_n^i: n\text{-bits binary string of } i \in \mathbf{N}$$

$$E_n = \{(b_n^i, b_n^j) \mid b_n^i, b_n^j \in \{0, 1\}^n, b_n^i \text{ and } b_n^j \text{ differs only one bit}\}.$$

Construct Q_{n+1} using Q_n and Q'_n (copy of Q_n).

$$Q_0 = (\{v_0^0\}, \{\}). \quad \text{basis}$$

$$Q_{n+1} = (V_{n+1}, E_{n+1}) \text{ where}$$

$$V_n^Z = \{0 \cdot b_n^i \in \{0, 1\}^{n+1} \mid 0 \leq i \leq 2^n - 1\} \quad \text{leading zero}$$

$$V_n^O = \{1 \cdot b_n^i \in \{0, 1\}^{n+1} \mid 0 \leq i \leq 2^n - 1\} \quad \text{leading one}$$

$$V_{n+1} = V_n^Z \cup V_n^O = \{b_{n+1}^i \in \{0, 1\}^{n+1} \mid 0 \leq i \leq 2^{n+1} - 1\}$$

$$E_{n+1} = E_n^Z \cup E_n^O \cup \{(0 \cdot b_n^i, 1 \cdot b_n^i) \in V_n^Z \times V_n^O \mid 0 \leq i \leq 2^n - 1\}$$

recursion

Bipartite Graph

$G = (V, E)$ is bipartite, iff $V = V_1 \cup V_2$ where $V_1 \cap V_2 = \emptyset$ and
 $\forall \{v_1, v_2\} \in E, v_1 \in V_1, v_2 \in V_2$.

Partition $\{V_1, V_2\}$ is called **bipartition**.

Complete Bipartite Graph, $K_{n,m} = (V_1 \cup V_2, E)$ where $V_1 \cap V_2 = \emptyset$ and
 $|V_1| = n, |V_2| = m$, and $E = \{\{v_1, v_2\} \mid v_1 \in V_1, v_2 \in V_2\}$

Thm. 4 A simple graph, is bipartite, if and only if,
 it is **2-colorable**.(see Fig. 7, Fig. 8, and Sec. 10.8)

Every **path**, **tree**, and **even length cycles** (C_{2n}) are bipartite.

odd vertices and even vertices

Theorem A graph is **bipartite**, if and only if,
 it contains **no odd length cycle**.

New Grapgs from old

Def. 7 A **subgraph** of graph $G = (V, E)$ is $G' = (V', E')$,
 where $V' \subseteq V$ and $E' \subseteq E$.

A **proper subgraph** of graph $G = (V, E)$ is $G'' = (V'', E'')$,
 where $V' \subset V$ and $E' \subset E$.

Def. 8 A **subgraph** of graph $G = (V, E)$ **induced** subvertices V' ($V' \subseteq V$) is
 $G' = (V', E')$, where $E = \{(u, v) \mid u, v \in V'\}$.

Def. 9 The **union** of two graph $G_1 = (V_1, E_1)$ and $G_2 = (V_2, E_2)$ is
 $G_{G_1 \cup G_2} = (V_1 \cup V_2, E_1 \cup E_2)$.

Let $G' = (V', E')$ is a subgraph of $G = (V, E)$. Then G' is called **spanning subgraph** of G , if $V' = V$.

Let $G' = (V', E')$ is a subgraph of $G = (V, E)$. Then $G'' = (V'', E'')$ is called the **complement** of G' with respect to G where $E'' = E - E'$, and $V'' = \{a \in V \mid (a, b) \in E''\}$

The **complement** of ugraph $G = (V, E)$ where $|V| = n$, with respect to K_n , denoted \overline{G} , is the **(absolute) complement** of G .

10.3 Representing Graphs and Graph Isomorphism

Adjacency List

Adjacency Matrices

$|V| \times |V|$ *boolean matrix*

Isomorphism of Graphs

Def. 1 The graph $G_1 = (V_1, E_1)$ and $G_2 = (V_2, E_2)$ are **isomorphic**,

iff \exists a **bijection** $f: V_1 \leftrightarrow V_2$. \exists . $\forall u \forall v \in V_1: (u, v) \in E_1 \Leftrightarrow (f(u), f(v)) \in E_2$.

Let $Q = (V, E)$ a graph. A **bijection** $f: V \rightarrow V'$ where $V \cap V' = \emptyset$. Then

$$Q' = (\{f(v) \in V' \mid v \in V\}, \{\{f(v), f(u)\} \mid \{v, u\} \in E\})$$

We may extend f domain and codomain of f from vertices to *edges*.

$$f((v, u)) = (f(v), f(u)).$$

$$Q' = (f(V), f(E))$$

If Q and Q' are **isomorphic**,

Q' is called an **isomorphic image** of Q .

n-cube, revisited.

i) $Q = (\{v\}, \{\})$ be a **0-cube**.

ii) Let Q be an **n-cube**. Then

$Q' = (V \cup f(V), E \cup f(E) \cup \{(V, f(V))\})$ is an $(n+1)$ -cube.

Graph isomorphism

Example 8, 9, 10

Difficult to check!

Necessary conditions for graph isomorphisms

$|V_1| = |V_2|$ and $|E_1| = |E_2|$.

The number of vertices with degree n is same in both graphs.

*Subgraph H of one graph is isomorphic to a subgraph of the other
isomorphic simple cycle*

10.4 Connectivity

Def. 1 Let $G = (V, E)$ be a graph. Then a **path** of length $n(\geq 0)$ from u to v in G is a **sequence of n edges** $Path(u, v) = (e_1, e_2, \dots, e_n)$ where

$$1 \leq \forall i < n: e_i = (v_i^s, v_i^e), v_i^e = v_{i+1}^s, e_1^s = u, e_n^e = v.$$

Consider two sequences of n edges and $(n+1)$ vertices

$$((v_0, v_1), (v_1, v_2), \dots, (v_{n-1}, v_n)) \text{ vs. } (v_0, v_1, \dots, v_n) \text{ where } v_0 = u, v_n = v.$$

What is the path of length 0? $()$

A path is a **circuit** if $u = v$, for $n \geq 1$.

A path **pass through** the vertices v_1, \dots, v_{n-1} or **traverse** along it.

A path is **simple**, if it contains no **edges** more than once.

Def. 1 Let $G = (V, E)$ be a graph. Then a **path** of length $n(\geq 0)$ from u to v in G is a **sequence of $(n+1)$ vertices** $Path(u, v) = (v_0, v_1, \dots, v_n)$ where

$$v_0 = u, v_n = v, \text{ and } 0 \leq \forall i < n: (v_i, v_{i+1}) \in E.$$

Note that $Path(u, u) = (u)$ is a **path** of length 0 **without** edge.

A graph is **simple cycle of length n** , iff it is isomorphic to C_n .

Def. 2.1 Let $G = (V, E)$ be a digraph. Then a **path** is defined as a **sequence of vertices** (a_0, a_1, \dots, a_n) with $n \geq 0$ such that

$(a_i, a_{i+1}) \in E, 0 \leq \forall i < n$. The path **starts** a_0 and **ends** a_n .

The **length** of the path is defined to be n .

$(a) \in V$ path of length 0; $n = 0, a_0 = a$.

A path is a **cycle** if $a_0 = a_n$.

$a E^* b$ there is a path from a to b

$a E^+ b$ there is a positive length path from a to b

Def. 2.2 A **cycle** is a positive length path that begins and ends **same vertex**. A **directed acyclic graph (DAG)** is a directed graph with **no cycle**.

Lemma If G is a DAG, G^+ is a **irreflexive partial order**.

Def. 3 Two vertices u and v are said to be **connected**,
if there is a path between them.

A graph is said to be **connected**,
if there **every** pair of distinct vertices are connected.

We write u connects v or u is connected to v , if u and v are connected.

$\text{connected} \subseteq V \times V$ binary relation on V

Lemma 0.1 The binary relation **connected** on V
is an **equivalence** relation

Def. 3.2 The **equivalence class** defined by the equivalence relation
connected is called **connected component**.

$P = \{[v]_{\text{connected}} \mid v \in V\}$ **partition** of V .

$|P| = \text{number of connected components}$

Def. 3.3 Two vertices in a graph are ***k*-connected** if they **remain connected** in any subgraph by **deleting $k-1$ edges**.

A graph is ***k*-connected** if every pair of vertices are *k*-connected.

simple cycle	2-connected
K_n	$(k-1)$ -connected

Thm 1 If vertex v is connected to vertex u in a graph,
there is a **simple path** from u to v .

proof Consider **minimum** length path from u to v .

v_0, v_1, \dots, v_k where $v_0 = u, v_k = v$ with $k \geq 2$ (if $k \leq 1$, simple) is simple.

Assume it is not simple, $0 \leq \exists i < \exists j < n \ .\exists. v_i = v_j$. Not minimum path!

Corollary 1.1 For any path of **length** k in graph, there is a **simple path** of length at **most** k with the same endpoints.

Thm 1.1 Every graph $G = (V, E)$ has
 at least $|V| - |E|$ **connected components**.

proof $P(n)$: $G = (V, E)$ with $|E| = n$ has at least $|V| - n$ C. C.

base: $|E| = 0$, $|V|$ connected components.

induction: Consider $G = (V, E)$ with $n+1$ edges.

Remove an edge $\{u, v\}$ and call the resulting graph G' .

G' has at least $|V| - n$ connected components. (I. H.)

Add back the edge $\{u, v\}$.

case 1: u and v are in the **same** C. C. **Same** number of components

G has at least $|V| - n > |V| - (n+1)$ components.

case 2: u and v are in the **different** C. C. **One less** component

G has at least $|V| - n - 1 = |V| - (n+1)$ components.

Corollary 1.2 The **connected** graph with n vertices has **at least** $n-1$ edges.

proof $1 \geq |V| - |E| \quad |E| \geq |V| - 1$

10.5 Euler and Hamilton Path

Def. 1 Let G be a graph.

An **Euler path** is a path containing every edge of G .

An **Euler circuit** is a circuit containing every edge of G .

Thm. 1 A connected graph has **Euler circuit** iff each vertex has **even degree**.

proof (\rightarrow) The circuit contributes 2 to the degree of each node

(\leftarrow) Algorithm 1

Alg. 1 Constructing Euler Circuit

Begins with **arbitrary** node.

Construct a **cycle** from the vertex to the vertex.

Repeat for each **remaining** subgraph,

inserting the new cycle into the **original** one.

Thm. 2 A connected graph has **Euler path** iff it has exactly **two** vertices of **odd degree**.

proof One is the start, the other is the end.

Def. 2 Let G be a graph.

An **Hamilton path** is a path traverse each vertex in G exactly **once**.

An **Hamilton circuit** is a circuit traverse each vertex in G exactly **once**.

K_n has a Hamilton circuit circuit($n \geq 3$).

If a graph has a vertex of **degree one**, there is **no Hamilton circuit**.

Exactly **two edges** incident to a vertex are in Hamilton circuit.

Traveling Salesman

NP complete

10.6 Shortest-Path Problem

Let $G = (V, E)$ be a graph, and $f: E \rightarrow \mathbf{R}^+$ be a **cost** of the edges. Then (V, E, f) is an edge **weighted** graph or multigraph(\mathbf{N}) in this text.

Iterative definition(extension) of cost of path.

Let $(v_0, v_1, \dots, v_n) \in E^*$ be a path of length $n \geq 0$. Then we define

$$\text{if } n = 0, f^*(v_0, v_0) = 0.$$

$$\text{if } n \geq 1, f^*(v_0, v_n) = \sum_{j=0}^{n-1} f(v_j, v_{j+1})$$

Recursive definition(extension) of cost of path.

$$f^*(u, u) = 0.$$

$$f^*(u, v) = f(u, x) + f^*(x, v) \quad \text{or} \quad f^*(u, x) + f(x, v).$$

We may use f instead of f^* , since $f \subseteq f^*$.

$f: E^* \rightarrow \mathbf{R}^+$ **extend** the domain of f from E to E^* .

Shortest path problem

Let $G = (E, V, f)$ be an edge weighted graph.

Definition $\min_f(u, v) = (u, v_1, \dots, v_n, v) \in E^* \quad n \geq 0 \quad \exists.$

$$f(u, v_1, \dots, v_n, v) \leq f(u, u_1, \dots, u_m, v) \quad \exists. \quad \forall m \geq 0 \quad \forall (u, u_1, \dots, u_m, v) \in E^*.$$

Find $u, v \in V$, find a shortest path such that $f(u, v)$ is minimum.

Definition Let $W \subseteq V$, $u, v \in V$. $L_f^W(u, v) = (u, w_1, \dots, w_n, v) \quad \exists.$

$$f(u, w_1, \dots, w_n, v) \leq f(u, x_1, \dots, x_m, v) \quad \exists. \quad \forall m, (u, x_1, \dots, x_m, v) \in E^* \\ n, m \geq 0, 1 \leq \forall i \leq n, w_i \in W, 1 \leq \forall i \leq m, x_i \in W.$$

Note that u, v may be in W or not.

Thm. Let $W \subseteq V$, $u \in V$, and $x \notin W \quad \exists$. $L_f^W(u, x)$ is the **minimum**.

$$\forall y \notin W, L_f^{W \cup \{x\}}(u, y) = \min(L_f^W(u, y), L_f^W(u, x) + f(x, y)).$$

procedure Shortest path $G = (V, E \subseteq V \times V, f: E \rightarrow \mathbf{R}^+)$

for $w \in V$ **do** $L(w) := \text{Infinite}$ **od**; $L(u), W := 0, \emptyset$;

Initialization $\forall y \in V, L(y) = L_f^{\{\}}(u, y).$

do $v \notin W \rightarrow$

Loop invariance $\forall y \in V, L(y) = L_f^W(u, y).$

$x := x \notin W \wedge \min(L(x)); W := W \cup \{x\};$

Loop invariance, invalidated $\forall y \in V, L(y) = L_f^{W-\{x\}}(u, y).$

do $y \notin W \wedge (x, y) \in E \rightarrow L(y) := \min(L(y), L(x) + f(x, y))$ **od**

Loop invariance, validated $\forall y \in V, L(y) = L_f^W(u, y).$

od

After the loop termination $\forall y \in V, L(y) = L_f^W(u, y) \wedge v \in W.$

We should also prove that $L_f^W(u, v) = \min_f(u, v)$, if $v \in W$.

But it is trivial, since we add the shortest path vertex x to W .

10.7 Planar Graph

Def. 1 A graph is **planar**, if it can be drawn in the **plane** without **edge crossing**.

K_4 is planar and Q_3 is planar, but $K_{3,3}$ is not planar.

Region: planar graph divides regions

Tree has **one** region

Removing an edge on the cycle merges **two** regions into **one**.

Thm 1 Let G be a **connected planar graph** with v **vertices** and e **edges**. Let r be the number of **regions** in planar representation of G . Then

$$r = e - v + 2.$$

proof Induction on number of edges, $P(e)$.

basis $e=0, v=1, r=1. \therefore 1 = 0 - 1 + 2 = 1. O.K.$

induction Consider a connected planar graph G with $e+1$ edges.

1. If G is **acyclic**. G is **tree**. $\therefore r = 1, e - v + 2 = -1 + 2 = 1. \therefore O.K.$

2. If G is not acyclic, G has at least one **cycle**, C .

Consider $\{u, v\}$ in C and a **spanning tree**, T . $\exists. \{u, v\}$ is **not** in T .

There exists such an edge $\{u, v\}$ because T is acyclic.

Remove the edge $\{u, v\}$ from G , it is called as G' .

G' has **one less regions**, since removing an edge $\{u, v\}$ on the cycle C .

G' is connected planar graph and has e edges.

$\therefore r = e - v + 2$ in G' induction hypothesis

In G , $r+1$ regions, $e+1$ edges, and v vertices. $\therefore (r + 1) = (e + 1) - v + 2.$

Col. 1 If connected planar graph G with e edges and v vertices where $v \geq 3$. Then $e \leq 3v - 6$.

proof Consider degree of region number of boundary edges

Sum of the degree of regions is $2e$.

Minimum number of degree of each region r is $3r$ (triangle)

$$\therefore 2e \geq 3r = 3(e - v + 2) \qquad \therefore e \leq 3v - 6.$$

Col. 2 If G is a connected planar graph, then G has a vertex not exceeding five.

proof If G has one or two vertices, the result is true.

If G has more than three vertices, $e \leq 3v - 6$. So $2e \leq 6v - 12$.

If every vertex has more than or equal 6, $2e \geq 6v$ (Handshaking Theorem)

But it contradict with $2e \leq 6v - 12$.

Ex. 5 K_5 is not planar.

$$v = 5, e = 10. 3v - 6 = 9.$$

10.8 Graph Coloring

Def. 1 A graph G is k -colorable, if each vertex can be assigned one of k colors so that **adjacent vertices** get the **different colors**.

map coloring problem

The smallest number of colors are called **chromatic number** of G , written as $\chi(G)$. $\chi(K_n) = n$.

Thm. 1 The **chromatic number** of **planar** graph is no greater than 4.

Thm. 2 A graph with maximum degree at most k is $(k+1)$ -colorable.

proof Induction on number of **vertices**. $P(n)$.

basis 1-vertex graph, maximum degree 0 and 1-colorable. $P(1)$ is true.

induction Let G be $(n+1)$ -**vertex** graph with maximum degree at most k

Remove a vertex v and its incident edges.

G' has n vertices and max. deg. at most k . $\therefore G'$ is $(k+1)$ -colorable(IH).

v has at most k adjacent vertices. $\therefore G$ is $(k+1)$ -colorable($(k+1) - k = 1$).